

# The Supplemental Isabelle/HOL Library

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# 1 Accessible-Part: The accessible part of a relation

**theory** *Accessible-Part*  
**imports** *Main*  
**begin**

## 1.1 Inductive definition

Inductive definition of the accessible part  $acc\ r$  of a relation; see also [4].

**consts**  
 $acc :: ('a \times 'a) \text{ set} \Rightarrow 'a \text{ set}$   
**inductive**  $acc\ r$   
**intros**  
 $accI: (!y. (y, x) \in r \Rightarrow y \in acc\ r) \Rightarrow x \in acc\ r$   
**syntax**  
 $termi :: ('a \times 'a) \text{ set} \Rightarrow 'a \text{ set}$   
**translations**  
 $termi\ r == acc\ (r^{-1})$

## 1.2 Induction rules

**theorem**  $acc\text{-}induct$ :  
 $a \in acc\ r \Rightarrow$   
 $(!x. x \in acc\ r \Rightarrow \forall y. (y, x) \in r \longrightarrow P\ y \Rightarrow P\ x) \Rightarrow P\ a$   
 $\langle proof \rangle$

**theorems**  $acc\text{-}induct\text{-}rule = acc\text{-}induct\ [rule\text{-}format, induct\ set: acc]$

**theorem**  $acc\text{-}downward$ :  $b \in acc\ r \Rightarrow (a, b) \in r \Rightarrow a \in acc\ r$   
 $\langle proof \rangle$

**lemma**  $acc\text{-}downwards\text{-}aux$ :  $(b, a) \in r^* \Rightarrow a \in acc\ r \longrightarrow b \in acc\ r$   
 $\langle proof \rangle$

**theorem**  $acc\text{-}downwards$ :  $a \in acc\ r \Rightarrow (b, a) \in r^* \Rightarrow b \in acc\ r$   
 $\langle proof \rangle$

**theorem**  $acc\text{-}wfI$ :  $\forall x. x \in acc\ r \Rightarrow wf\ r$   
 $\langle proof \rangle$

**theorem**  $acc\text{-}wfD$ :  $wf\ r \Rightarrow x \in acc\ r$   
 $\langle proof \rangle$

**theorem**  $wf\text{-}acc\text{-}iff$ :  $wf\ r = (\forall x. x \in acc\ r)$   
 $\langle proof \rangle$

**end**

## 2 SetsAndFunctions: Operations on sets and functions

```
theory SetsAndFunctions
imports Main
begin
```

This library lifts operations like addition and multiplication to sets and functions of appropriate types. It was designed to support asymptotic calculations. See the comments at the top of theory *BigO*.

### 2.1 Basic definitions

```
instance set :: (plus) plus <proof>
instance fun :: (type, plus) plus <proof>

defs (overloaded)
  func-plus:  $f + g == (\%x. f\ x + g\ x)$ 
  set-plus:  $A + B == \{c. EX\ a:A. EX\ b:B. c = a + b\}$ 

instance set :: (times) times <proof>
instance fun :: (type, times) times <proof>

defs (overloaded)
  func-times:  $f * g == (\%x. f\ x * g\ x)$ 
  set-times:  $A * B == \{c. EX\ a:A. EX\ b:B. c = a * b\}$ 

instance fun :: (type, minus) minus <proof>

defs (overloaded)
  func-minus:  $- f == (\%x. - f\ x)$ 
  func-diff:  $f - g == \%x. f\ x - g\ x$ 

instance fun :: (type, zero) zero <proof>
instance set :: (zero) zero <proof>

defs (overloaded)
  func-zero:  $0::('a::type) => ('b::zero)) == \%x. 0$ 
  set-zero:  $0::('a::zero) set == \{0\}$ 

instance fun :: (type, one) one <proof>
instance set :: (one) one <proof>

defs (overloaded)
  func-one:  $1::('a::type) => ('b::one)) == \%x. 1$ 
  set-one:  $1::('a::one) set == \{1\}$ 
```

**constdefs**

*elt-set-plus* :: *'a::plus* => *'a set* => *'a set*    (**infixl** +*o* 70)  
*a +o B* == {*c. EX b:B. c = a + b*}

*elt-set-times* :: *'a::times* => *'a set* => *'a set*    (**infixl** \**o* 80)  
*a \*o B* == {*c. EX b:B. c = a \* b*}

**syntax**

*elt-set-eq* :: *'a* => *'a set* => *bool*    (**infix** =*o* 50)

**translations**

*x =o A* => *x : A*

**instance** *fun* :: (*type, semigroup-add*) *semigroup-add*  
 ⟨*proof*⟩

**instance** *fun* :: (*type, comm-monoid-add*) *comm-monoid-add*  
 ⟨*proof*⟩

**instance** *fun* :: (*type, ab-group-add*) *ab-group-add*  
 ⟨*proof*⟩

**instance** *fun* :: (*type, semigroup-mult*) *semigroup-mult*  
 ⟨*proof*⟩

**instance** *fun* :: (*type, comm-monoid-mult*) *comm-monoid-mult*  
 ⟨*proof*⟩

**instance** *fun* :: (*type, comm-ring-1*) *comm-ring-1*  
 ⟨*proof*⟩

**instance** *set* :: (*semigroup-add*) *semigroup-add*  
 ⟨*proof*⟩

**instance** *set* :: (*semigroup-mult*) *semigroup-mult*  
 ⟨*proof*⟩

**instance** *set* :: (*comm-monoid-add*) *comm-monoid-add*  
 ⟨*proof*⟩

**instance** *set* :: (*comm-monoid-mult*) *comm-monoid-mult*  
 ⟨*proof*⟩

**2.2 Basic properties**

**lemma** *set-plus-intro* [*intro*]: *a : C* ==> *b : D* ==> *a + b : C + D*  
 ⟨*proof*⟩

**lemma** *set-plus-intro2* [intro]:  $b : C \implies a + b : a +_o C$   
 ⟨proof⟩

**lemma** *set-plus-rearrange*:  $((a::'a::\text{comm-monoid-add}) +_o C) + (b +_o D) = (a + b) +_o (C + D)$   
 ⟨proof⟩

**lemma** *set-plus-rearrange2*:  $(a::'a::\text{semigroup-add}) +_o (b +_o C) = (a + b) +_o C$   
 ⟨proof⟩

**lemma** *set-plus-rearrange3*:  $((a::'a::\text{semigroup-add}) +_o B) + C = a +_o (B + C)$   
 ⟨proof⟩

**theorem** *set-plus-rearrange4*:  $C + ((a::'a::\text{comm-monoid-add}) +_o D) = a +_o (C + D)$   
 ⟨proof⟩

**theorems** *set-plus-rearranges* = *set-plus-rearrange set-plus-rearrange2 set-plus-rearrange3 set-plus-rearrange4*

**lemma** *set-plus-mono* [intro!]:  $C \leq D \implies a +_o C \leq a +_o D$   
 ⟨proof⟩

**lemma** *set-plus-mono2* [intro]:  $(C::('a::\text{plus}) \text{ set}) \leq D \implies E \leq F \implies C + E \leq D + F$   
 ⟨proof⟩

**lemma** *set-plus-mono3* [intro]:  $a : C \implies a +_o D \leq C + D$   
 ⟨proof⟩

**lemma** *set-plus-mono4* [intro]:  $(a::'a::\text{comm-monoid-add}) : C \implies a +_o D \leq D + C$   
 ⟨proof⟩

**lemma** *set-plus-mono5*:  $a:C \implies B \leq D \implies a +_o B \leq C + D$   
 ⟨proof⟩

**lemma** *set-plus-mono-b*:  $C \leq D \implies x : a +_o C \implies x : a +_o D$   
 ⟨proof⟩

**lemma** *set-plus-mono2-b*:  $C \leq D \implies E \leq F \implies x : C + E \implies x : D + F$   
 ⟨proof⟩

**lemma** *set-plus-mono3-b*:  $a : C \implies x : a +_o D \implies x : C + D$   
 ⟨proof⟩

**lemma** *set-plus-mono4-b*:  $(a::'a::\text{comm-monoid-add}) : C \implies$   
 $x : a +_o D \implies x : D + C$   
 $\langle \text{proof} \rangle$

**lemma** *set-zero-plus* [*simp*]:  $(0::'a::\text{comm-monoid-add}) +_o C = C$   
 $\langle \text{proof} \rangle$

**lemma** *set-zero-plus2*:  $(0::'a::\text{comm-monoid-add}) : A \implies B \leq A + B$   
 $\langle \text{proof} \rangle$

**lemma** *set-plus-imp-minus*:  $(a::'a::\text{ab-group-add}) : b +_o C \implies (a - b) : C$   
 $\langle \text{proof} \rangle$

**lemma** *set-minus-imp-plus*:  $(a::'a::\text{ab-group-add}) - b : C \implies a : b +_o C$   
 $\langle \text{proof} \rangle$

**lemma** *set-minus-plus*:  $((a::'a::\text{ab-group-add}) - b : C) = (a : b +_o C)$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-intro* [*intro*]:  $a : C \implies b : D \implies a * b : C * D$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-intro2* [*intro!*]:  $b : C \implies a * b : a *_o C$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-rearrange*:  $((a::'a::\text{comm-monoid-mult}) *_o C) * (b *_o D) = (a * b) *_o (C * D)$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-rearrange2*:  $(a::'a::\text{semigroup-mult}) *_o (b *_o C) = (a * b) *_o C$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-rearrange3*:  $((a::'a::\text{semigroup-mult}) *_o B) * C = a *_o (B * C)$   
 $\langle \text{proof} \rangle$

**theorem** *set-times-rearrange4*:  $C * ((a::'a::\text{comm-monoid-mult}) *_o D) = a *_o (C * D)$   
 $\langle \text{proof} \rangle$

**theorems** *set-times-rearranges* = *set-times-rearrange set-times-rearrange2 set-times-rearrange3 set-times-rearrange4*

**lemma** *set-times-mono* [*intro*]:  $C \leq D \implies a *_o C \leq a *_o D$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-mono2* [*intro*]:  $(C::('a::\text{times}) \text{ set}) \leq D \implies E \leq F \implies$



$C * E \leq D * F$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-mono3* [intro]:  $a : C \implies a *o D \leq C * D$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-mono4* [intro]:  $(a::'a::\text{comm-monoid-mult}) : C \implies$   
 $a *o D \leq D * C$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-mono5*:  $a:C \implies B \leq D \implies a *o B \leq C * D$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-mono-b*:  $C \leq D \implies x : a *o C$   
 $\implies x : a *o D$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-mono2-b*:  $C \leq D \implies E \leq F \implies x : C * E \implies$   
 $x : D * F$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-mono3-b*:  $a : C \implies x : a *o D \implies x : C * D$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-mono4-b*:  $(a::'a::\text{comm-monoid-mult}) : C \implies$   
 $x : a *o D \implies x : D * C$   
 $\langle \text{proof} \rangle$

**lemma** *set-one-times* [simp]:  $(1::'a::\text{comm-monoid-mult}) *o C = C$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-plus-distrib*:  $(a::'a::\text{semiring}) *o (b +o C) =$   
 $(a * b) +o (a *o C)$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-plus-distrib2*:  $(a::'a::\text{semiring}) *o (B + C) =$   
 $(a *o B) + (a *o C)$   
 $\langle \text{proof} \rangle$

**lemma** *set-times-plus-distrib3*:  $((a::'a::\text{semiring}) +o C) * D \leq$   
 $a *o D + C * D$   
 $\langle \text{proof} \rangle$

**theorems** *set-times-plus-distribs* = *set-times-plus-distrib*  
*set-times-plus-distrib2*

**lemma** *set-neg-intro*:  $(a::'a::\text{ring-1}) : (- 1) *o C \implies$   
 $- a : C$   
 $\langle \text{proof} \rangle$

```

lemma set-neg-intro2: (a::'a::ring-1) : C ==>
  - a : (- 1) *o C
<proof>

end

```

### 3 BigO: Big O notation

```

theory BigO
imports SetsAndFunctions
begin

```

This library is designed to support asymptotic “big O” calculations, i.e. reasoning with expressions of the form  $f = O(g)$  and  $f = g + O(h)$ . An earlier version of this library is described in detail in [2].

The main changes in this version are as follows:

- We have eliminated the  $O$  operator on sets. (Most uses of this seem to be inessential.)
- We no longer use  $+$  as output syntax for  $+o$
- Lemmas involving *sumr* have been replaced by more general lemmas involving *setsum*.
- The library has been expanded, with e.g. support for expressions of the form  $f < g + O(h)$ .

See `Complex/ex/BigO_Complex.thy` for additional lemmas that require the HOL-Complex logic image.

Note also since the Big O library includes rules that demonstrate set inclusion, to use the automated reasoners effectively with the library one should redeclare the theorem *subsetI* as an intro rule, rather than as an *intro!* rule, for example, using `declare subsetI [del, intro]`.

#### 3.1 Definitions

```

constdefs

```

```

bigo :: ('a ==> 'b::ordered-idom) ==> ('a ==> 'b) set  ((1O'(-)))
O(f::('a ==> 'b)) ==
  {h. EX c. ALL x. abs (h x) <= c * abs (f x)}

```

```

lemma bigo-pos-const: (EX (c::'a::ordered-idom).
  ALL x. (abs (h x)) <= (c * (abs (f x))))

```

$= (EX\ c.\ 0 < c \ \& \ (ALL\ x.\ (abs(h\ x)) \leq (c * (abs\ (f\ x))))))$   
 $\langle proof \rangle$

**lemma** *bigo-alt-def*:  $O(f) =$   
 $\{h.\ EX\ c.\ (0 < c \ \& \ (ALL\ x.\ abs\ (h\ x) \leq c * abs\ (f\ x)))\}$   
 $\langle proof \rangle$

**lemma** *bigo-elt-subset* [intro]:  $f : O(g) \implies O(f) \leq O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-refl* [intro]:  $f : O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-zero*:  $0 : O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-zero2*:  $O(\%x.0) = \{\%x.0\}$   
 $\langle proof \rangle$

**lemma** *bigo-plus-self-subset* [intro]:  
 $O(f) + O(f) \leq O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-plus-idemp* [simp]:  $O(f) + O(f) = O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-plus-subset* [intro]:  $O(f + g) \leq O(f) + O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-plus-subset2* [intro]:  $A \leq O(f) \implies B \leq O(f) \implies A + B \leq$   
 $O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-plus-eq*:  $ALL\ x.\ 0 \leq f\ x \implies ALL\ x.\ 0 \leq g\ x \implies$   
 $O(f + g) = O(f) + O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-bounded-alt*:  $ALL\ x.\ 0 \leq f\ x \implies ALL\ x.\ f\ x \leq c * g\ x \implies$   
 $f : O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-bounded*:  $ALL\ x.\ 0 \leq f\ x \implies ALL\ x.\ f\ x \leq g\ x \implies$   
 $f : O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-bounded2*:  $ALL\ x.\ lb\ x \leq f\ x \implies ALL\ x.\ f\ x \leq lb\ x + g\ x \implies$   
 $f : lb + o\ O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-abs*:  $(\%x. \text{abs}(f\ x)) =_o O(f)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-abs2*:  $f =_o O(\%x. \text{abs}(f\ x))$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-abs3*:  $O(f) = O(\%x. \text{abs}(f\ x))$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-abs4*:  $f =_o g +_o O(h) \implies$   
 $(\%x. \text{abs}(f\ x)) =_o (\%x. \text{abs}(g\ x)) +_o O(h)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-abs5*:  $f =_o O(g) \implies (\%x. \text{abs}(f\ x)) =_o O(g)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-elt-subset2* [intro]:  $f : g +_o O(h) \implies O(f) \leq O(g) + O(h)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-mult* [intro]:  $O(f) * O(g) \leq O(f * g)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-mult2* [intro]:  $f *_o O(g) \leq O(f * g)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-mult3*:  $f : O(h) \implies g : O(j) \implies f * g : O(h * j)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-mult4* [intro]:  $f : k +_o O(h) \implies g * f : (g * k) +_o O(g * h)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-mult5*:  $ALL\ x. f\ x \sim= 0 \implies$   
 $O(f * g) \leq (f :: 'a \Rightarrow ('b :: \text{ordered-field})) *_o O(g)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-mult6*:  $ALL\ x. f\ x \sim= 0 \implies$   
 $O(f * g) = (f :: 'a \Rightarrow ('b :: \text{ordered-field})) *_o O(g)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-mult7*:  $ALL\ x. f\ x \sim= 0 \implies$   
 $O(f * g) \leq O(f :: 'a \Rightarrow ('b :: \text{ordered-field})) * O(g)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-mult8*:  $ALL\ x. f\ x \sim= 0 \implies$   
 $O(f * g) = O(f :: 'a \Rightarrow ('b :: \text{ordered-field})) * O(g)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-minus* [intro]:  $f : O(g) \implies -f : O(g)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-minus2*:  $f : g + o\ O(h) \implies -f : -g + o\ O(h)$   
 $\langle proof \rangle$

**lemma** *bigo-minus3*:  $O(-f) = O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-plus-absorb-lemma1*:  $f : O(g) \implies f + o\ O(g) \leq O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-plus-absorb-lemma2*:  $f : O(g) \implies O(g) \leq f + o\ O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-plus-absorb [simp]*:  $f : O(g) \implies f + o\ O(g) = O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-plus-absorb2 [intro]*:  $f : O(g) \implies A \leq O(g) \implies f + o\ A \leq O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-add-commute-imp*:  $f : g + o\ O(h) \implies g : f + o\ O(h)$   
 $\langle proof \rangle$

**lemma** *bigo-add-commute*:  $(f : g + o\ O(h)) = (g : f + o\ O(h))$   
 $\langle proof \rangle$

**lemma** *bigo-const1*:  $(\%x. c) : O(\%x. 1)$   
 $\langle proof \rangle$

**lemma** *bigo-const2 [intro]*:  $O(\%x. c) \leq O(\%x. 1)$   
 $\langle proof \rangle$

**lemma** *bigo-const3*:  $(c :: 'a :: ordered-field) \sim 0 \implies (\%x. 1) : O(\%x. c)$   
 $\langle proof \rangle$

**lemma** *bigo-const4*:  $(c :: 'a :: ordered-field) \sim 0 \implies O(\%x. 1) \leq O(\%x. c)$   
 $\langle proof \rangle$

**lemma** *bigo-const [simp]*:  $(c :: 'a :: ordered-field) \sim 0 \implies O(\%x. c) = O(\%x. 1)$   
 $\langle proof \rangle$

**lemma** *bigo-const-mult1*:  $(\%x. c * f\ x) : O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-const-mult2*:  $O(\%x. c * f\ x) \leq O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-const-mult3*:  $(c :: 'a :: ordered-field) \sim 0 \implies f : O(\%x. c * f\ x)$

$\langle proof \rangle$

**lemma** *bigo-const-mult4*:  $(c::'a::ordered-field) \sim= 0 ==>$   
 $O(f) \leq O(\%x. c * f x)$   
 $\langle proof \rangle$

**lemma** *bigo-const-mult [simp]*:  $(c::'a::ordered-field) \sim= 0 ==>$   
 $O(\%x. c * f x) = O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-const-mult5 [simp]*:  $(c::'a::ordered-field) \sim= 0 ==>$   
 $(\%x. c) *o O(f) = O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-const-mult6 [intro]*:  $(\%x. c) *o O(f) \leq O(f)$   
 $\langle proof \rangle$

**lemma** *bigo-const-mult7 [intro]*:  $f =o O(g) ==> (\%x. c * f x) =o O(g)$   
 $\langle proof \rangle$

**lemma** *bigo-compose1*:  $f =o O(g) ==> (\%x. f(k x)) =o O(\%x. g(k x))$   
 $\langle proof \rangle$

**lemma** *bigo-compose2*:  $f =o g +o O(h) ==> (\%x. f(k x)) =o (\%x. g(k x)) +o$   
 $O(\%x. h(k x))$   
 $\langle proof \rangle$

### 3.2 Setsum

**lemma** *bigo-setsum-main*:  $ALL x. ALL y : A x. 0 \leq h x y ==>$   
 $EX c. ALL x. ALL y : A x. abs(f x y) \leq c * (h x y) ==>$   
 $(\%x. SUM y : A x. f x y) =o O(\%x. SUM y : A x. h x y)$   
 $\langle proof \rangle$

**lemma** *bigo-setsum1*:  $ALL x y. 0 \leq h x y ==>$   
 $EX c. ALL x y. abs(f x y) \leq c * (h x y) ==>$   
 $(\%x. SUM y : A x. f x y) =o O(\%x. SUM y : A x. h x y)$   
 $\langle proof \rangle$

**lemma** *bigo-setsum2*:  $ALL y. 0 \leq h y ==>$   
 $EX c. ALL y. abs(f y) \leq c * (h y) ==>$   
 $(\%x. SUM y : A x. f y) =o O(\%x. SUM y : A x. h y)$   
 $\langle proof \rangle$

**lemma** *bigo-setsum3*:  $f =o O(h) ==>$   
 $(\%x. SUM y : A x. (l x y) * f(k x y)) =o$   
 $O(\%x. SUM y : A x. abs(l x y * h(k x y)))$   
 $\langle proof \rangle$

**lemma** *bigo-setsum4*:  $f =_o g +_o O(h) ==>$   
 $(\%x. \text{SUM } y : A \ x. \ l \ x \ y * f(k \ x \ y)) =_o$   
 $(\%x. \text{SUM } y : A \ x. \ l \ x \ y * g(k \ x \ y)) +_o$   
 $O(\%x. \text{SUM } y : A \ x. \ \text{abs}(l \ x \ y * h(k \ x \ y)))$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-setsum5*:  $f =_o O(h) ==> \text{ALL } x \ y. \ 0 \leq l \ x \ y ==>$   
 $\text{ALL } x. \ 0 \leq h \ x ==>$   
 $(\%x. \text{SUM } y : A \ x. \ (l \ x \ y) * f(k \ x \ y)) =_o$   
 $O(\%x. \text{SUM } y : A \ x. \ (l \ x \ y) * h(k \ x \ y))$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-setsum6*:  $f =_o g +_o O(h) ==> \text{ALL } x \ y. \ 0 \leq l \ x \ y ==>$   
 $\text{ALL } x. \ 0 \leq h \ x ==>$   
 $(\%x. \text{SUM } y : A \ x. \ (l \ x \ y) * f(k \ x \ y)) =_o$   
 $(\%x. \text{SUM } y : A \ x. \ (l \ x \ y) * g(k \ x \ y)) +_o$   
 $O(\%x. \text{SUM } y : A \ x. \ (l \ x \ y) * h(k \ x \ y))$   
 $\langle \text{proof} \rangle$

### 3.3 Misc useful stuff

**lemma** *bigo-useful-intro*:  $A \leq O(f) ==> B \leq O(f) ==>$   
 $A + B \leq O(f)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-useful-add*:  $f =_o O(h) ==> g =_o O(h) ==> f + g =_o O(h)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-useful-const-mult*:  $(c::'a::\text{ordered-field}) \sim 0 ==>$   
 $(\%x. \ c) * f =_o O(h) ==> f =_o O(h)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-fix*:  $(\%x. \ f \ ((x::\text{nat}) + 1)) =_o O(\%x. \ h(x + 1)) ==> f \ 0 = 0 ==>$   
 $f =_o O(h)$   
 $\langle \text{proof} \rangle$

**lemma** *bigo-fix2*:  
 $(\%x. \ f \ ((x::\text{nat}) + 1)) =_o (\%x. \ g(x + 1)) +_o O(\%x. \ h(x + 1)) ==>$   
 $f \ 0 = g \ 0 ==> f =_o g +_o O(h)$   
 $\langle \text{proof} \rangle$

### 3.4 Less than or equal to

**constdefs**  
 $\text{lesso} :: ('a \Rightarrow 'b::\text{ordered-idom}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$   
 $(\text{infixl } <_o \ 70)$   
 $f <_o g == (\%x. \ \text{max} \ (f \ x - g \ x) \ 0)$

**lemma** *bigo-lesseq1*:  $f =_o O(h) ==> \text{ALL } x. \ \text{abs} \ (g \ x) \leq \text{abs} \ (f \ x) ==>$   
 $g =_o O(h)$

$\langle proof \rangle$

**lemma** *big-lesseq2*:  $f =_o O(h) \implies \text{ALL } x. \text{abs } (g \ x) \leq f \ x \implies$   
 $g =_o O(h)$   
 $\langle proof \rangle$

**lemma** *big-lesseq3*:  $f =_o O(h) \implies \text{ALL } x. 0 \leq g \ x \implies \text{ALL } x. g \ x \leq f \ x \implies$   
 $g =_o O(h)$   
 $\langle proof \rangle$

**lemma** *big-lesseq4*:  $f =_o O(h) \implies$   
 $\text{ALL } x. 0 \leq g \ x \implies \text{ALL } x. g \ x \leq \text{abs } (f \ x) \implies$   
 $g =_o O(h)$   
 $\langle proof \rangle$

**lemma** *big-lesso1*:  $\text{ALL } x. f \ x \leq g \ x \implies f <_o g =_o O(h)$   
 $\langle proof \rangle$

**lemma** *big-lesso2*:  $f =_o g +_o O(h) \implies$   
 $\text{ALL } x. 0 \leq k \ x \implies \text{ALL } x. k \ x \leq f \ x \implies$   
 $k <_o g =_o O(h)$   
 $\langle proof \rangle$

**lemma** *big-lesso3*:  $f =_o g +_o O(h) \implies$   
 $\text{ALL } x. 0 \leq k \ x \implies \text{ALL } x. g \ x \leq k \ x \implies$   
 $f <_o k =_o O(h)$   
 $\langle proof \rangle$

**lemma** *big-lesso4*:  $f <_o g =_o O(k :: 'a \Rightarrow 'b :: \text{ordered-field}) \implies$   
 $g =_o h +_o O(k) \implies f <_o h =_o O(k)$   
 $\langle proof \rangle$

**lemma** *big-lesso5*:  $f <_o g =_o O(h) \implies$   
 $\text{EX } C. \text{ALL } x. f \ x \leq g \ x + C * \text{abs}(h \ x)$   
 $\langle proof \rangle$

**lemma** *lesso-add*:  $f <_o g =_o O(h) \implies$   
 $k <_o l =_o O(h) \implies (f + k) <_o (g + l) =_o O(h)$   
 $\langle proof \rangle$

**end**

## 4 Continuity: Continuity and iterations (of set transformers)

**theory** *Continuity*



```
imports Main
begin
```

#### 4.1 Chains

```
constdefs
```

```
  up-chain :: (nat => 'a set) => bool
  up-chain F ==  $\forall i. F\ i \subseteq F\ (Suc\ i)$ 
```

```
lemma up-chainI: ( $\forall i. F\ i \subseteq F\ (Suc\ i)$ ) ==> up-chain F
  <proof>
```

```
lemma up-chainD: up-chain F ==>  $F\ i \subseteq F\ (Suc\ i)$ 
  <proof>
```

```
lemma up-chain-less-mono [rule-format]:
  up-chain F ==>  $x < y \longrightarrow F\ x \subseteq F\ y$ 
  <proof>
```

```
lemma up-chain-mono: up-chain F ==>  $x \leq y \implies F\ x \subseteq F\ y$ 
  <proof>
```

```
constdefs
```

```
  down-chain :: (nat => 'a set) => bool
  down-chain F ==  $\forall i. F\ (Suc\ i) \subseteq F\ i$ 
```

```
lemma down-chainI: ( $\forall i. F\ (Suc\ i) \subseteq F\ i$ ) ==> down-chain F
  <proof>
```

```
lemma down-chainD: down-chain F ==>  $F\ (Suc\ i) \subseteq F\ i$ 
  <proof>
```

```
lemma down-chain-less-mono [rule-format]:
  down-chain F ==>  $x < y \longrightarrow F\ y \subseteq F\ x$ 
  <proof>
```

```
lemma down-chain-mono: down-chain F ==>  $x \leq y \implies F\ y \subseteq F\ x$ 
  <proof>
```

#### 4.2 Continuity

```
constdefs
```

```
  up-cont :: ('a set => 'a set) => bool
  up-cont f ==  $\forall F. up-chain\ F \longrightarrow f\ (\bigcup (range\ F)) = \bigcup (f\ ` range\ F)$ 
```

```
lemma up-contI:
  ( $\forall F. up-chain\ F \longrightarrow f\ (\bigcup (range\ F)) = \bigcup (f\ ` range\ F)$ ) ==> up-cont f
  <proof>
```

**lemma** *up-contD*:

*up-cont f ==> up-chain F ==> f (⋃ (range F)) = ⋃ (f ‘ range F)*  
*<proof>*

**lemma** *up-cont-mono*: *up-cont f ==> mono f*

*<proof>*

**constdefs**

*down-cont :: ('a set => 'a set) => bool*  
*down-cont f ==*  
 $\forall F. \text{down-chain } F \longrightarrow f (\text{Inter } (\text{range } F)) = \text{Inter } (f \text{ ‘ range } F)$

**lemma** *down-contI*:

*(!!F. down-chain F ==> f (Inter (range F)) = Inter (f ‘ range F)) ==>*  
*down-cont f*  
*<proof>*

**lemma** *down-contD*: *down-cont f ==> down-chain F ==>*

*f (Inter (range F)) = Inter (f ‘ range F)*  
*<proof>*

**lemma** *down-cont-mono*: *down-cont f ==> mono f*

*<proof>*

### 4.3 Iteration

**constdefs**

*up-iterate :: ('a set => 'a set) => nat => 'a set*  
*up-iterate f n == (f^n) {}*

**lemma** *up-iterate-0 [simp]*: *up-iterate f 0 = {}*

*<proof>*

**lemma** *up-iterate-Suc [simp]*: *up-iterate f (Suc i) = f (up-iterate f i)*

*<proof>*

**lemma** *up-iterate-chain*: *mono F ==> up-chain (up-iterate F)*

*<proof>*

**lemma** *UNION-up-iterate-is-fp*:

*up-cont F ==>*  
 $F (\text{UNION UNIV } (\text{up-iterate } F)) = \text{UNION UNIV } (\text{up-iterate } F)$   
*<proof>*

**lemma** *UNION-up-iterate-lowerbound*:

*mono F ==> F P = P ==> UNION UNIV (up-iterate F) ⊆ P*  
*<proof>*

**lemma** *UNION-up-iterate-is-lfp*:

*up-cont F ==> lfp F = UNION UNIV (up-iterate F)*  
*<proof>*

**constdefs**

*down-iterate :: ('a set => 'a set) => nat => 'a set*  
*down-iterate f n == (f^n) UNIV*

**lemma** *down-iterate-0 [simp]*: *down-iterate f 0 = UNIV*  
*<proof>*

**lemma** *down-iterate-Suc [simp]*:  
*down-iterate f (Suc i) = f (down-iterate f i)*  
*<proof>*

**lemma** *down-iterate-chain*: *mono F ==> down-chain (down-iterate F)*  
*<proof>*

**lemma** *INTER-down-iterate-is-fp*:  
*down-cont F ==>*  
*F (INTER UNIV (down-iterate F)) = INTER UNIV (down-iterate F)*  
*<proof>*

**lemma** *INTER-down-iterate-upperbound*:  
*mono F ==> F P = P ==> P ⊆ INTER UNIV (down-iterate F)*  
*<proof>*

**lemma** *INTER-down-iterate-is-gfp*:  
*down-cont F ==> gfp F = INTER UNIV (down-iterate F)*  
*<proof>*

**end**

## 5 EfficientNat: Implementation of natural numbers by integers

**theory** *EfficientNat*  
**imports** *Main*  
**begin**

When generating code for functions on natural numbers, the canonical representation using *0* and *Suc* is unsuitable for computations involving large numbers. The efficiency of the generated code can be improved drastically by implementing natural numbers by integers. To do this, just include this theory.

### 5.1 Basic functions

The implementation of  $0$  and  $Suc$  using the ML integers is straightforward. Since natural numbers are implemented using integers, the coercion function  $int$  of type  $nat \Rightarrow int$  is simply implemented by the identity function. For the  $nat$  function for converting an integer to a natural number, we give a specific implementation using an ML function that returns its input value, provided that it is non-negative, and otherwise returns  $0$ .

#### types-code

```

  nat (int)
attach (term-of) <<
  fun term-of-nat 0 = Const (0, Hologic.natT)
    | term-of-nat 1 = Const (1, Hologic.natT)
    | term-of-nat i = Hologic.number-of-const Hologic.natT $
      Hologic.mk-bin (IntInf.fromInt i);
  >>
attach (test) <<
  fun gen-nat i = random-range 0 i;
  >>

```

#### consts-code

```

  0 :: nat (0)
  Suc ((- + 1))
  nat (<module>nat)
attach <<
  fun nat i = if i < 0 then 0 else i;
  >>
  int ((-))

```

Case analysis on natural numbers is rephrased using a conditional expression:

**lemma** [code unfold]:  $nat\text{-}case \equiv (\lambda f\ g\ n. \text{if } n = 0 \text{ then } f \text{ else } g\ (n - 1))$   
 <proof>

Most standard arithmetic functions on natural numbers are implemented using their counterparts on the integers:

**lemma** [code]:  $m - n = nat\ (int\ m - int\ n)$  <proof>  
**lemma** [code]:  $m + n = nat\ (int\ m + int\ n)$  <proof>  
**lemma** [code]:  $m * n = nat\ (int\ m * int\ n)$   
 <proof>  
**lemma** [code]:  $m\ div\ n = nat\ (int\ m\ div\ int\ n)$   
 <proof>  
**lemma** [code]:  $m\ mod\ n = nat\ (int\ m\ mod\ int\ n)$   
 <proof>  
**lemma** [code]:  $(m < n) = (int\ m < int\ n)$   
 <proof>

## 5.2 Preprocessors

In contrast to  $Suc\ n$ , the term  $n + 1$  is no longer a constructor term. Therefore, all occurrences of this term in a position where a pattern is expected (i.e. on the left-hand side of a recursion equation or in the arguments of an inductive relation in an introduction rule) must be eliminated. This can be accomplished by applying the following transformation rules:

**theorem** *Suc-if-eq*:  $(\bigwedge n. f\ (Suc\ n) = h\ n) \implies f\ 0 = g \implies$   
 $f\ n = (if\ n = 0\ then\ g\ else\ h\ (n - 1))$   
 $\langle proof \rangle$

**theorem** *Suc-clause*:  $(\bigwedge n. P\ n\ (Suc\ n)) \implies n \neq 0 \implies P\ (n - 1)\ n$   
 $\langle proof \rangle$

The rules above are built into a preprocessor that is plugged into the code generator. Since the preprocessor for introduction rules does not know anything about modes, some of the modes that worked for the canonical representation of natural numbers may no longer work.

$\langle ML \rangle$   
**end**

## 6 ExecutableSet: Implementation of finite sets by lists

**theory** *ExecutableSet*  
**imports** *Main*  
**begin**

**lemma** [*code target: Set*]:  $(A = B) = (A \subseteq B \wedge B \subseteq A)$   
 $\langle proof \rangle$

**declare** *bex-triv-one-point1* [*symmetric, standard, code*]

**types-code**

*set* (- *list*)

**attach** (*term-of*)  $\ll$

*fun term-of-set* *f T* [] = *Const* ({}, *Type* (*set*, [*T*]))

| *term-of-set* *f T* (*x :: xs*) = *Const* (*insert*,

*T*  $\longrightarrow$  *Type* (*set*, [*T*])  $\longrightarrow$  *Type* (*set*, [*T*])) \$ *f x* \$ *term-of-set* *f T xs*;

$\gg$

**attach** (*test*)  $\ll$

*fun gen-set'* *aG i j* = *frequency*

[(*i*, *fn* ()  $\Rightarrow$  *aG j* :: *gen-set'* *aG* (*i*-1) *j*), (*1*, *fn* ()  $\Rightarrow$  [])] ()

*and gen-set* *aG i* = *gen-set'* *aG i i*;

$\gg$

```

consts-code
  {}      ([])
  insert  ((- ins -))
  op Un    ((- union -))
  op Int   ((- inter -))
  op - :: 'a set => 'a set => 'a set ((- \\ -))
  image   ((module)image)
attach <<
  fun image f S = distinct (map f S);
  >>
  UNION    ((module)UNION)
attach <<
  fun UNION S f = Library.foldr Library.union (map f S, []);
  >>
  INTER    ((module)INTER)
attach <<
  fun INTER S f = Library.foldr1 Library.inter (map f S);
  >>
  Bex      ((module)Bex)
attach <<
  fun Bex S P = Library.exists P S;
  >>
  Ball     ((module)Ball)
attach <<
  fun Ball S P = Library.forall P S;
  >>

end

```

## 7 FuncSet: Pi and Function Sets

```

theory FuncSet
imports Main
begin

```

```

constdefs
  Pi :: ['a set, 'a => 'b set] => ('a => 'b) set
  Pi A B == {f. ∀ x. x ∈ A --> f x ∈ B x}

  extensional :: 'a set => ('a => 'b) set
  extensional A == {f. ∀ x. x~:A --> f x = arbitrary}

  restrict :: ['a => 'b, 'a set] => ('a => 'b)
  restrict f A == (%x. if x ∈ A then f x else arbitrary)

```

```

syntax
  @Pi :: [pttrn, 'a set, 'b set] => ('a => 'b) set ((3PI :-./ -) 10)
  funcset :: ['a set, 'b set] => ('a => 'b) set      (infixr -> 60)

```

@lam :: [pttrn, 'a set, 'a ==> 'b] ==> ('a==>'b) ((%:-./ -) [0,0,3] 3)

**syntax** (*xsymbols*)

@Pi :: [pttrn, 'a set, 'b set] ==> ('a ==> 'b) set ((%Π -∈-./ -) 10)

funcset :: ['a set, 'b set] ==> ('a ==> 'b) set (**infixr** → 60)

@lam :: [pttrn, 'a set, 'a ==> 'b] ==> ('a==>'b) ((%λ-∈-./ -) [0,0,3] 3)

**syntax** (*HTML output*)

@Pi :: [pttrn, 'a set, 'b set] ==> ('a ==> 'b) set ((%Π -∈-./ -) 10)

@lam :: [pttrn, 'a set, 'a ==> 'b] ==> ('a==>'b) ((%λ-∈-./ -) [0,0,3] 3)

**translations**

PI x:A. B ==> Pi A (%x. B)

A -> B ==> Pi A (-K B)

%x:A. f == restrict (%x. f) A

**constdefs**

compose :: ['a set, 'b ==> 'c, 'a ==> 'b] ==> ('a ==> 'c)

compose A g f == λx∈A. g (f x)

⟨ML⟩

## 7.1 Basic Properties of Pi

**lemma** *Pi-I*: (!!x. x ∈ A ==> f x ∈ B x) ==> f ∈ Pi A B  
 ⟨proof⟩

**lemma** *funcsetI*: (!!x. x ∈ A ==> f x ∈ B) ==> f ∈ A -> B  
 ⟨proof⟩

**lemma** *Pi-mem*: [|f: Pi A B; x ∈ A|] ==> f x ∈ B x  
 ⟨proof⟩

**lemma** *funcset-mem*: [|f ∈ A -> B; x ∈ A|] ==> f x ∈ B  
 ⟨proof⟩

**lemma** *funcset-image*: f ∈ A→B ==> f ‘ A ⊆ B  
 ⟨proof⟩

**lemma** *Pi-eq-empty*: ((PI x: A. B x) = {}) = (∃ x∈A. B(x) = {})  
 ⟨proof⟩

**lemma** *Pi-empty* [simp]: Pi {} B = UNIV  
 ⟨proof⟩

**lemma** *Pi-UNIV* [simp]: A -> UNIV = UNIV  
 ⟨proof⟩

Covariance of Pi-sets in their second argument

**lemma** *Pi-mono*:  $(!!x. x \in A \implies B\ x \leq C\ x) \implies \text{Pi } A\ B \leq \text{Pi } A\ C$   
 $\langle \text{proof} \rangle$

Contravariance of Pi-sets in their first argument

**lemma** *Pi-anti-mono*:  $A' \leq A \implies \text{Pi } A\ B \leq \text{Pi } A'\ B$   
 $\langle \text{proof} \rangle$

## 7.2 Composition With a Restricted Domain: *compose*

**lemma** *funcset-compose*:  
 $[f \in A \rightarrow B; g \in B \rightarrow C] \implies \text{compose } A\ g\ f \in A \rightarrow C$   
 $\langle \text{proof} \rangle$

**lemma** *compose-assoc*:  
 $[f \in A \rightarrow B; g \in B \rightarrow C; h \in C \rightarrow D]$   
 $\implies \text{compose } A\ h\ (\text{compose } A\ g\ f) = \text{compose } A\ (\text{compose } B\ h\ g)\ f$   
 $\langle \text{proof} \rangle$

**lemma** *compose-eq*:  $x \in A \implies \text{compose } A\ g\ f\ x = g(f(x))$   
 $\langle \text{proof} \rangle$

**lemma** *surj-compose*:  $[f \text{ ‘ } A = B; g \text{ ‘ } B = C] \implies \text{compose } A\ g\ f \text{ ‘ } A = C$   
 $\langle \text{proof} \rangle$

## 7.3 Bounded Abstraction: *restrict*

**lemma** *restrict-in-funcset*:  $(!!x. x \in A \implies f\ x \in B) \implies (\lambda x \in A. f\ x) \in A \rightarrow B$   
 $\langle \text{proof} \rangle$

**lemma** *restrictI*:  $(!!x. x \in A \implies f\ x \in B\ x) \implies (\lambda x \in A. f\ x) \in \text{Pi } A\ B$   
 $\langle \text{proof} \rangle$

**lemma** *restrict-apply* [simp]:  
 $(\lambda y \in A. f\ y)\ x = (\text{if } x \in A \text{ then } f\ x \text{ else arbitrary})$   
 $\langle \text{proof} \rangle$

**lemma** *restrict-ext*:  
 $(!!x. x \in A \implies f\ x = g\ x) \implies (\lambda x \in A. f\ x) = (\lambda x \in A. g\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-restrict-eq* [simp]:  $\text{inj-on } (\text{restrict } f\ A)\ A = \text{inj-on } f\ A$   
 $\langle \text{proof} \rangle$

**lemma** *Id-compose*:  
 $[f \in A \rightarrow B; f \in \text{extensional } A] \implies \text{compose } A\ (\lambda y \in B. y)\ f = f$   
 $\langle \text{proof} \rangle$

**lemma** *compose-Id*:



$$[[g \in A \rightarrow B; g \in \text{extensional } A]] ==> \text{compose } A \ g \ (\lambda x \in A. x) = g$$
  
 $\langle \text{proof} \rangle$

**lemma** *image-restrict-eq* [simp]:  $(\text{restrict } f \ A) \ 'A = f \ 'A$   
 $\langle \text{proof} \rangle$

## 7.4 Bijections Between Sets

The basic definition could be moved to *Fun.thy*, but most of the theorems belong here, or need at least *Hilbert-Choice*.

**constdefs**

$\text{bij-betw} :: ['a ==> 'b, 'a \text{ set}, 'b \text{ set}] ==> \text{bool}$   
 $\text{bij-betw } f \ A \ B == \text{inj-on } f \ A \ \& \ f \ 'A = B$

**lemma** *bij-betw-imp-inj-on*:  $\text{bij-betw } f \ A \ B \implies \text{inj-on } f \ A$   
 $\langle \text{proof} \rangle$

**lemma** *bij-betw-imp-funcset*:  $\text{bij-betw } f \ A \ B \implies f \in A \rightarrow B$   
 $\langle \text{proof} \rangle$

**lemma** *bij-betw-Inv*:  $\text{bij-betw } f \ A \ B \implies \text{bij-betw } (\text{Inv } A \ f) \ B \ A$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-compose*:

$[[ \text{bij-betw } f \ A \ B; \text{inj-on } g \ B ]] ==> \text{inj-on } (\text{compose } A \ g \ f) \ A$   
 $\langle \text{proof} \rangle$

**lemma** *bij-betw-compose*:

$[[ \text{bij-betw } f \ A \ B; \text{bij-betw } g \ B \ C ]] ==> \text{bij-betw } (\text{compose } A \ g \ f) \ A \ C$   
 $\langle \text{proof} \rangle$

**lemma** *bij-betw-restrict-eq* [simp]:

$\text{bij-betw } (\text{restrict } f \ A) \ A \ B = \text{bij-betw } f \ A \ B$   
 $\langle \text{proof} \rangle$

## 7.5 Extensionality

**lemma** *extensional-arb*:  $[[f \in \text{extensional } A; x \notin A]] ==> f \ x = \text{arbitrary}$   
 $\langle \text{proof} \rangle$

**lemma** *restrict-extensional* [simp]:  $\text{restrict } f \ A \in \text{extensional } A$   
 $\langle \text{proof} \rangle$

**lemma** *compose-extensional* [simp]:  $\text{compose } A \ f \ g \in \text{extensional } A$   
 $\langle \text{proof} \rangle$

**lemma** *extensionalityI*:

$[[f \in \text{extensional } A; g \in \text{extensional } A;$   
 $!!x. x \in A ==> f \ x = g \ x]] ==> f = g$

$\langle \text{proof} \rangle$

**lemma** *Inv-funcset*:  $f : A = B \implies (\lambda x \in B. \text{Inv } A \ f \ x) : B \multimap A$   
 $\langle \text{proof} \rangle$

**lemma** *compose-Inv-id*:  
 $\text{bij-betw } f \ A \ B \implies \text{compose } A \ (\lambda y \in B. \text{Inv } A \ f \ y) \ f = (\lambda x \in A. x)$   
 $\langle \text{proof} \rangle$

**lemma** *compose-id-Inv*:  
 $f : A = B \implies \text{compose } B \ f \ (\lambda y \in B. \text{Inv } A \ f \ y) = (\lambda x \in B. x)$   
 $\langle \text{proof} \rangle$

## 7.6 Cardinality

**lemma** *card-inj*:  $[[f \in A \rightarrow B; \text{inj-on } f \ A; \text{finite } B]] \implies \text{card}(A) \leq \text{card}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *card-bij*:  
 $[[f \in A \rightarrow B; \text{inj-on } f \ A;$   
 $g \in B \rightarrow A; \text{inj-on } g \ B; \text{finite } A; \text{finite } B]] \implies \text{card}(A) = \text{card}(B)$   
 $\langle \text{proof} \rangle$

**end**

## 8 Multiset: Multisets

**theory** *Multiset*  
**imports** *Accessible-Part*  
**begin**

### 8.1 The type of multisets

**typedef** *'a multiset* =  $\{f :: 'a \Rightarrow \text{nat}. \text{finite } \{x. 0 < f \ x\}\}$   
 $\langle \text{proof} \rangle$

**lemmas** *multiset-typedef* [simp] =  
 $\text{Abs-multiset-inverse } \text{Rep-multiset-inverse } \text{Rep-multiset}$   
**and** [simp] =  $\text{Rep-multiset-inject } [\text{symmetric}]$

**constdefs**  
 $\text{Empty} :: 'a \text{ multiset} \quad (\{\#\})$   
 $\{\#\} == \text{Abs-multiset } (\lambda a. 0)$   
  
 $\text{single} :: 'a \Rightarrow 'a \text{ multiset} \quad (\{\#-\#\})$   
 $\{\#a\# \} == \text{Abs-multiset } (\lambda b. \text{if } b = a \text{ then } 1 \text{ else } 0)$   
  
 $\text{count} :: 'a \text{ multiset} \Rightarrow 'a \Rightarrow \text{nat}$

*count* == *Rep-multiset*

*MCollect* :: 'a multiset => ('a => bool) => 'a multiset

*MCollect* *M P* == *Abs-multiset* ( $\lambda x. \text{if } P \ x \text{ then } \text{Rep-multiset } M \ x \text{ else } 0$ )

#### **syntax**

-*Melem* :: 'a => 'a multiset => bool ((-/ :# -) [50, 51] 50)

-*MCollect* :: pptrn => 'a multiset => bool => 'a multiset ((1{# - : -/ -#}))

#### **translations**

*a* :# *M* == 0 < *count M a*

{#*x*:*M*. *P*#} == *MCollect M* ( $\lambda x. P$ )

#### **constdefs**

*set-of* :: 'a multiset => 'a set

*set-of M* == {*x*. *x* :# *M*}

**instance** *multiset* :: (type) {*plus*, *minus*, *zero*} <proof>

#### **defs (overloaded)**

*union-def*: *M* + *N* == *Abs-multiset* ( $\lambda a. \text{Rep-multiset } M \ a + \text{Rep-multiset } N \ a$ )

*diff-def*: *M* - *N* == *Abs-multiset* ( $\lambda a. \text{Rep-multiset } M \ a - \text{Rep-multiset } N \ a$ )

*Zero-multiset-def* [*simp*]: 0 == {#}

*size-def*: *size M* == *setsum* (*count M*) (*set-of M*)

#### **constdefs**

*multiset-inter* :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset (**infixl** # $\cap$  70)

*multiset-inter A B*  $\equiv A - (A - B)$

Preservation of the representing set *multiset*.

**lemma** *const0-in-multiset* [*simp*]: ( $\lambda a. 0$ )  $\in$  *multiset*  
<proof>

**lemma** *only1-in-multiset* [*simp*]: ( $\lambda b. \text{if } b = a \text{ then } 1 \text{ else } 0$ )  $\in$  *multiset*  
<proof>

**lemma** *union-preserves-multiset* [*simp*]:

*M*  $\in$  *multiset*  $\implies$  *N*  $\in$  *multiset*  $\implies$  ( $\lambda a. M \ a + N \ a$ )  $\in$  *multiset*  
<proof>

**lemma** *diff-preserves-multiset* [*simp*]:

*M*  $\in$  *multiset*  $\implies$  ( $\lambda a. M \ a - N \ a$ )  $\in$  *multiset*  
<proof>

## **8.2 Algebraic properties of multisets**

### **8.2.1 Union**

**lemma** *union-empty* [*simp*]: *M* + {#} = *M*  $\wedge$  {#} + *M* = *M*  
<proof>

**lemma** *union-commute*:  $M + N = N + (M::'a \text{ multiset})$   
 $\langle \text{proof} \rangle$

**lemma** *union-assoc*:  $(M + N) + K = M + (N + (K::'a \text{ multiset}))$   
 $\langle \text{proof} \rangle$

**lemma** *union-lcomm*:  $M + (N + K) = N + (M + (K::'a \text{ multiset}))$   
 $\langle \text{proof} \rangle$

**lemmas** *union-ac = union-assoc union-commute union-lcomm*

**instance** *multiset* :: (type) comm-monoid-add  
 $\langle \text{proof} \rangle$

### 8.2.2 Difference

**lemma** *diff-empty* [simp]:  $M - \{\#\} = M \wedge \{\#\} - M = \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *diff-union-inverse2* [simp]:  $M + \{\#a\# \} - \{\#a\# \} = M$   
 $\langle \text{proof} \rangle$

### 8.2.3 Count of elements

**lemma** *count-empty* [simp]:  $\text{count } \{\#\} a = 0$   
 $\langle \text{proof} \rangle$

**lemma** *count-single* [simp]:  $\text{count } \{\#b\# \} a = (\text{if } b = a \text{ then } 1 \text{ else } 0)$   
 $\langle \text{proof} \rangle$

**lemma** *count-union* [simp]:  $\text{count } (M + N) a = \text{count } M a + \text{count } N a$   
 $\langle \text{proof} \rangle$

**lemma** *count-diff* [simp]:  $\text{count } (M - N) a = \text{count } M a - \text{count } N a$   
 $\langle \text{proof} \rangle$

### 8.2.4 Set of elements

**lemma** *set-of-empty* [simp]:  $\text{set-of } \{\#\} = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *set-of-single* [simp]:  $\text{set-of } \{\#b\# \} = \{b\}$   
 $\langle \text{proof} \rangle$

**lemma** *set-of-union* [simp]:  $\text{set-of } (M + N) = \text{set-of } M \cup \text{set-of } N$   
 $\langle \text{proof} \rangle$

**lemma** *set-of-eq-empty-iff* [simp]:  $(\text{set-of } M = \{\}) = (M = \{\#\})$   
 $\langle \text{proof} \rangle$

**lemma** *mem-set-of-iff* [simp]:  $(x \in \text{set-of } M) = (x :\# M)$   
 ⟨proof⟩

### 8.2.5 Size

**lemma** *size-empty* [simp]:  $\text{size } \{\#\} = 0$   
 ⟨proof⟩

**lemma** *size-single* [simp]:  $\text{size } \{\#b\# \} = 1$   
 ⟨proof⟩

**lemma** *finite-set-of* [iff]:  $\text{finite } (\text{set-of } M)$   
 ⟨proof⟩

**lemma** *setsum-count-Int*:  
 $\text{finite } A \implies \text{setsum } (\text{count } N) (A \cap \text{set-of } N) = \text{setsum } (\text{count } N) A$   
 ⟨proof⟩

**lemma** *size-union* [simp]:  $\text{size } (M + N::'a \text{ multiset}) = \text{size } M + \text{size } N$   
 ⟨proof⟩

**lemma** *size-eq-0-iff-empty* [iff]:  $(\text{size } M = 0) = (M = \{\#\})$   
 ⟨proof⟩

**lemma** *size-eq-Suc-imp-elem*:  $\text{size } M = \text{Suc } n \implies \exists a. a :\# M$   
 ⟨proof⟩

### 8.2.6 Equality of multisets

**lemma** *multiset-eq-conv-count-eq*:  $(M = N) = (\forall a. \text{count } M a = \text{count } N a)$   
 ⟨proof⟩

**lemma** *single-not-empty* [simp]:  $\{\#a\# \} \neq \{\#\} \wedge \{\#\} \neq \{\#a\# \}$   
 ⟨proof⟩

**lemma** *single-eq-single* [simp]:  $(\{\#a\# \} = \{\#b\# \}) = (a = b)$   
 ⟨proof⟩

**lemma** *union-eq-empty* [iff]:  $(M + N = \{\#\}) = (M = \{\#\} \wedge N = \{\#\})$   
 ⟨proof⟩

**lemma** *empty-eq-union* [iff]:  $(\{\#\} = M + N) = (M = \{\#\} \wedge N = \{\#\})$   
 ⟨proof⟩

**lemma** *union-right-cancel* [simp]:  $(M + K = N + K) = (M = (N::'a \text{ multiset}))$   
 ⟨proof⟩

**lemma** *union-left-cancel* [simp]:  $(K + M = K + N) = (M = (N::'a \text{ multiset}))$   
 ⟨proof⟩

**lemma** *union-is-single*:

$$(M + N = \{\#a\# \}) = (M = \{\#a\# \} \wedge N = \{\#\} \vee M = \{\#\} \wedge N = \{\#a\# \})$$

*<proof>*

**lemma** *single-is-union*:

$$(\{\#a\# \} = M + N) = (\{\#a\# \} = M \wedge N = \{\#\} \vee M = \{\#\} \wedge \{\#a\# \} = N)$$

*<proof>*

**lemma** *add-eq-conv-diff*:

$$(M + \{\#a\# \} = N + \{\#b\# \}) = (M = N \wedge a = b \vee M = N - \{\#a\# \} + \{\#b\# \} \wedge N = M - \{\#b\# \} + \{\#a\# \})$$

*<proof>*

**declare** *Rep-multiset-inject* [*symmetric, simp del*]

### 8.2.7 Intersection

**lemma** *multiset-inter-count*:

$$\text{count } (A \# \cap B) \ x = \min (\text{count } A \ x) (\text{count } B \ x)$$

*<proof>*

**lemma** *multiset-inter-commute*:  $A \# \cap B = B \# \cap A$

*<proof>*

**lemma** *multiset-inter-assoc*:  $A \# \cap (B \# \cap C) = A \# \cap B \# \cap C$

*<proof>*

**lemma** *multiset-inter-left-commute*:  $A \# \cap (B \# \cap C) = B \# \cap (A \# \cap C)$

*<proof>*

**lemmas** *multiset-inter-ac =*

*multiset-inter-commute*

*multiset-inter-assoc*

*multiset-inter-left-commute*

**lemma** *multiset-union-diff-commute*:  $B \# \cap C = \{\#\} \implies A + B - C = A - C + B$

*<proof>*

### 8.3 Induction over multisets

**lemma** *setsum-decr*:

$$\text{finite } F \implies (0 :: \text{nat}) < f \ a \implies$$

$$\text{setsum } (f \ (a := f \ a - 1)) \ F = (\text{if } a \in F \text{ then setsum } f \ F - 1 \text{ else setsum } f \ F)$$

*<proof>*

**lemma** *rep-multiset-induct-aux*:

**assumes**  $P (\lambda a. (0 :: nat))$   
**and**  $!!f b. f \in \text{multiset} \implies P f \implies P (f (b := f b + 1))$   
**shows**  $\forall f. f \in \text{multiset} \dashv\vdash \text{setsum } f \{x. 0 < f x\} = n \dashv\vdash P f$   
 $\langle \text{proof} \rangle$

**theorem** *rep-multiset-induct*:  
 $f \in \text{multiset} \implies P (\lambda a. 0) \implies$   
 $(!!f b. f \in \text{multiset} \implies P f \implies P (f (b := f b + 1))) \implies P f$   
 $\langle \text{proof} \rangle$

**theorem** *multiset-induct* [*induct type: multiset*]:  
**assumes** *prem1*:  $P \{\#\}$   
**and** *prem2*:  $!!M x. P M \implies P (M + \{x\#x\})$   
**shows**  $P M$   
 $\langle \text{proof} \rangle$

**lemma** *MCollect-preserves-multiset*:  
 $M \in \text{multiset} \implies (\lambda x. \text{if } P x \text{ then } M x \text{ else } 0) \in \text{multiset}$   
 $\langle \text{proof} \rangle$

**lemma** *count-MCollect* [*simp*]:  
 $\text{count } \{\# x:M. P x \# \} a = (\text{if } P a \text{ then } \text{count } M a \text{ else } 0)$   
 $\langle \text{proof} \rangle$

**lemma** *set-of-MCollect* [*simp*]:  $\text{set-of } \{\# x:M. P x \# \} = \text{set-of } M \cap \{x. P x\}$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-partition*:  $M = \{\# x:M. P x \# \} + \{\# x:M. \neg P x \# \}$   
 $\langle \text{proof} \rangle$

**lemma** *add-eq-conv-ex*:  
 $(M + \{\#a\# \} = N + \{\#b\# \}) =$   
 $(M = N \wedge a = b \vee (\exists K. M = K + \{\#b\# \} \wedge N = K + \{\#a\# \}))$   
 $\langle \text{proof} \rangle$

**declare** *multiset-typedef* [*simp del*]

## 8.4 Multiset orderings

### 8.4.1 Well-foundedness

**constdefs**  
 $\text{mult1} :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ multiset} \times 'a \text{ multiset}) \text{ set}$   
 $\text{mult1 } r ==$   
 $\{(N, M). \exists a M0 K. M = M0 + \{\#a\# \} \wedge N = M0 + K \wedge$   
 $(\forall b. b :\# K \dashv\vdash (b, a) \in r)\}$   
  
 $\text{mult} :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ multiset} \times 'a \text{ multiset}) \text{ set}$   
 $\text{mult } r == (\text{mult1 } r)^+$

**lemma** *not-less-empty* [iff]:  $(M, \{\#\}) \notin \text{mult1 } r$   
 ⟨proof⟩

**lemma** *less-add*:  $(N, M0 + \{\#a\# \}) \in \text{mult1 } r \implies$   
 $(\exists M. (M, M0) \in \text{mult1 } r \wedge N = M + \{\#a\# \}) \vee$   
 $(\exists K. (\forall b. b : \# K \implies (b, a) \in r) \wedge N = M0 + K)$   
 (concl is ?case1 (mult1 r)  $\vee$  ?case2)  
 ⟨proof⟩

**lemma** *all-accessible*:  $\text{wf } r \implies \forall M. M \in \text{acc } (\text{mult1 } r)$   
 ⟨proof⟩

**theorem** *wf-mult1*:  $\text{wf } r \implies \text{wf } (\text{mult1 } r)$   
 ⟨proof⟩

**theorem** *wf-mult*:  $\text{wf } r \implies \text{wf } (\text{mult } r)$   
 ⟨proof⟩

#### 8.4.2 Closure-free presentation

**lemma** *diff-union-single-conv*:  $a : \# J \implies I + J - \{\#a\# \} = I + (J - \{\#a\# \})$   
 ⟨proof⟩

One direction.

**lemma** *mult-implies-one-step*:  
 $\text{trans } r \implies (M, N) \in \text{mult } r \implies$   
 $\exists I J K. N = I + J \wedge M = I + K \wedge J \neq \{\#\} \wedge$   
 $(\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in r)$   
 ⟨proof⟩

**lemma** *elem-imp-eq-diff-union*:  $a : \# M \implies M = M - \{\#a\# \} + \{\#a\# \}$   
 ⟨proof⟩

**lemma** *size-eq-Suc-imp-eq-union*:  $\text{size } M = \text{Suc } n \implies \exists a N. M = N + \{\#a\# \}$   
 ⟨proof⟩

**lemma** *one-step-implies-mult-aux*:  
 $\text{trans } r \implies$   
 $\forall I J K. (\text{size } J = n \wedge J \neq \{\#\} \wedge (\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in r))$   
 $\implies (I + K, I + J) \in \text{mult } r$   
 ⟨proof⟩

**lemma** *one-step-implies-mult*:  
 $\text{trans } r \implies J \neq \{\#\} \implies \forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in r$   
 $\implies (I + K, I + J) \in \text{mult } r$   
 ⟨proof⟩

#### 8.4.3 Partial-order properties

**instance** *multiset* :: (type) ord ⟨proof⟩



**defs (overloaded)**

*less-multiset-def*:  $M' < M == (M', M) \in \text{mult } \{(x', x). x' < x\}$

*le-multiset-def*:  $M' \leq M == M' = M \vee M' < (M::'a::\text{multiset})$

**lemma** *trans-base-order*:  $\text{trans } \{(x', x). x' < (x::'a::\text{order})\}$   
 $\langle \text{proof} \rangle$

Irreflexivity.

**lemma** *mult-irrefl-aux*:

*finite*  $A ==> (\forall x \in A. \exists y \in A. x < (y::'a::\text{order})) --> A = \{\}$

$\langle \text{proof} \rangle$

**lemma** *mult-less-not-refl*:  $\neg M < (M::'a::\text{order multiset})$   
 $\langle \text{proof} \rangle$

**lemma** *mult-less-irrefl [elim!]*:  $M < (M::'a::\text{order multiset}) ==> R$   
 $\langle \text{proof} \rangle$

Transitivity.

**theorem** *mult-less-trans*:  $K < M ==> M < N ==> K < (N::'a::\text{order multiset})$   
 $\langle \text{proof} \rangle$

Asymmetry.

**theorem** *mult-less-not-sym*:  $M < N ==> \neg N < (M::'a::\text{order multiset})$   
 $\langle \text{proof} \rangle$

**theorem** *mult-less-asym*:

$M < N ==> (\neg P ==> N < (M::'a::\text{order multiset})) ==> P$

$\langle \text{proof} \rangle$

**theorem** *mult-le-refl [iff]*:  $M \leq (M::'a::\text{order multiset})$   
 $\langle \text{proof} \rangle$

Anti-symmetry.

**theorem** *mult-le-antisym*:

$M \leq N ==> N \leq M ==> M = (N::'a::\text{order multiset})$

$\langle \text{proof} \rangle$

Transitivity.

**theorem** *mult-le-trans*:

$K \leq M ==> M \leq N ==> K \leq (N::'a::\text{order multiset})$

$\langle \text{proof} \rangle$

**theorem** *mult-less-le*:  $(M < N) = (M \leq N \wedge M \neq (N::'a::\text{order multiset}))$   
 $\langle \text{proof} \rangle$

Partial order.

**instance** *multiset* :: (*order*) *order*  
 ⟨*proof*⟩

#### 8.4.4 Monotonicity of multiset union

**lemma** *mult1-union*:

$(B, D) \in \text{mult1 } r \implies \text{trans } r \implies (C + B, C + D) \in \text{mult1 } r$   
 ⟨*proof*⟩

**lemma** *union-less-mono2*:  $B < D \implies C + B < C + (D :: 'a :: \text{order multiset})$   
 ⟨*proof*⟩

**lemma** *union-less-mono1*:  $B < D \implies B + C < D + (C :: 'a :: \text{order multiset})$   
 ⟨*proof*⟩

**lemma** *union-less-mono*:

$A < C \implies B < D \implies A + B < C + (D :: 'a :: \text{order multiset})$   
 ⟨*proof*⟩

**lemma** *union-le-mono*:

$A \leq C \implies B \leq D \implies A + B \leq C + (D :: 'a :: \text{order multiset})$   
 ⟨*proof*⟩

**lemma** *empty-leI* [*iff*]:  $\{\#\} \leq (M :: 'a :: \text{order multiset})$   
 ⟨*proof*⟩

**lemma** *union-upper1*:  $A \leq A + (B :: 'a :: \text{order multiset})$   
 ⟨*proof*⟩

**lemma** *union-upper2*:  $B \leq A + (B :: 'a :: \text{order multiset})$   
 ⟨*proof*⟩

#### 8.5 Link with lists

**consts**

*multiset-of* :: 'a list  $\Rightarrow$  'a multiset

**primrec**

*multiset-of* [] = {#}

*multiset-of* (a # x) = *multiset-of* x + {# a #}

**lemma** *multiset-of-zero-iff* [*simp*]:  $(\text{multiset-of } x = \{\#\}) = (x = [])$   
 ⟨*proof*⟩

**lemma** *multiset-of-zero-iff-right* [*simp*]:  $(\{\#\} = \text{multiset-of } x) = (x = [])$   
 ⟨*proof*⟩

**lemma** *set-of-multiset-of* [*simp*]:  $\text{set-of}(\text{multiset-of } x) = \text{set } x$   
 ⟨*proof*⟩

**lemma** *mem-set-multiset-eq*:  $x \in \text{set } xs = (x : \# \text{ multiset-of } xs)$

$\langle \text{proof} \rangle$

**lemma** *multiset-of-append[simp]*:

$\text{multiset-of } (xs @ ys) = \text{multiset-of } xs + \text{multiset-of } ys$

$\langle \text{proof} \rangle$

**lemma** *surj-multiset-of*: *surj multiset-of*

$\langle \text{proof} \rangle$

**lemma** *set-count-greater-0*:  $\text{set } x = \{a. 0 < \text{count } (\text{multiset-of } x) a\}$

$\langle \text{proof} \rangle$

**lemma** *distinct-count-atmost-1*:

$\text{distinct } x = (! a. \text{count } (\text{multiset-of } x) a = (\text{if } a \in \text{set } x \text{ then } 1 \text{ else } 0))$

$\langle \text{proof} \rangle$

**lemma** *multiset-of-eq-setD*:

$\text{multiset-of } xs = \text{multiset-of } ys \implies \text{set } xs = \text{set } ys$

$\langle \text{proof} \rangle$

**lemma** *set-eq-iff-multiset-of-eq-distinct*:

$\llbracket \text{distinct } x; \text{distinct } y \rrbracket$

$\implies (\text{set } x = \text{set } y) = (\text{multiset-of } x = \text{multiset-of } y)$

$\langle \text{proof} \rangle$

**lemma** *set-eq-iff-multiset-of-remdups-eq*:

$(\text{set } x = \text{set } y) = (\text{multiset-of } (\text{remdups } x) = \text{multiset-of } (\text{remdups } y))$

$\langle \text{proof} \rangle$

**lemma** *multiset-of-compl-union[simp]*:

$\text{multiset-of } [x \in xs. P x] + \text{multiset-of } [x \in xs. \neg P x] = \text{multiset-of } xs$

$\langle \text{proof} \rangle$

**lemma** *count-filter*:

$\text{count } (\text{multiset-of } xs) x = \text{length } [y \in xs. y = x]$

$\langle \text{proof} \rangle$

## 8.6 Pointwise ordering induced by count

**consts**

$\text{mset-le} :: ['a \text{ multiset}, 'a \text{ multiset}] \Rightarrow \text{bool}$

**syntax**

$\text{-mset-le} :: 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool} \quad (- \leq \# - \quad [50,51] \ 50)$

**translations**

$x \leq \# y == \text{mset-le } x y$

**defs**

$\text{mset-le-def}: xs \leq \# ys == (\forall a. \text{count } xs a \leq \text{count } ys a)$

**lemma** *mset-le-refl[simp]*:  $xs \leq\# xs$   
 $\langle proof \rangle$

**lemma** *mset-le-trans*:  $\llbracket xs \leq\# ys; ys \leq\# zs \rrbracket \implies xs \leq\# zs$   
 $\langle proof \rangle$

**lemma** *mset-le-antisym*:  $\llbracket xs \leq\# ys; ys \leq\# xs \rrbracket \implies xs = ys$   
 $\langle proof \rangle$

**lemma** *mset-le-exists-conv*:  
 $(xs \leq\# ys) = (\exists zs. ys = xs + zs)$   
 $\langle proof \rangle$

**lemma** *mset-le-mono-add-right-cancel[simp]*:  $(xs + zs \leq\# ys + zs) = (xs \leq\# ys)$   
 $\langle proof \rangle$

**lemma** *mset-le-mono-add-left-cancel[simp]*:  $(zs + xs \leq\# zs + ys) = (xs \leq\# ys)$   
 $\langle proof \rangle$

**lemma** *mset-le-mono-add*:  $\llbracket xs \leq\# ys; vs \leq\# ws \rrbracket \implies xs + vs \leq\# ys + ws$   
 $\langle proof \rangle$

**lemma** *mset-le-add-left[simp]*:  $xs \leq\# xs + ys$   
 $\langle proof \rangle$

**lemma** *mset-le-add-right[simp]*:  $ys \leq\# xs + ys$   
 $\langle proof \rangle$

**lemma** *multiset-of-remdups-le*:  $\text{multiset-of } (\text{remdups } x) \leq\# \text{multiset-of } x$   
 $\langle proof \rangle$

**end**

## 9 NatPair: Pairs of Natural Numbers

**theory** *NatPair*  
**imports** *Main*  
**begin**

An injective function from  $\mathbb{N}^2$  to  $\mathbb{N}$ . Definition and proofs are from [3, page 85].

**constdefs**  
 $\text{nat2-to-nat}:: (\text{nat} * \text{nat}) \Rightarrow \text{nat}$   
 $\text{nat2-to-nat pair} \equiv \text{let } (n,m) = \text{pair in } (n+m) * \text{Suc } (n+m) \text{ div } 2 + n$

**lemma** *dvd2-a-x-suc-a*:  $2 \text{ dvd } a * (\text{Suc } a)$   
 $\langle proof \rangle$

```

lemma
  assumes eq: nat2-to-nat (u,v) = nat2-to-nat (x,y)
  shows nat2-to-nat-help: u+v ≤ x+y
  ⟨proof⟩

theorem nat2-to-nat-inj: inj nat2-to-nat
  ⟨proof⟩

end

```

## 10 Nat-Infinity: Natural numbers with infinity

```

theory Nat-Infinity
imports Main
begin

```

### 10.1 Definitions

We extend the standard natural numbers by a special value indicating infinity. This includes extending the ordering relations *op* < and *op* ≤.

```

datatype inat = Fin nat | Infty

instance inat :: {ord, zero} ⟨proof⟩

consts
  iSuc :: inat => inat

syntax (xsymbols)
  Infty :: inat    (∞)

syntax (HTML output)
  Infty :: inat    (∞)

defs
  Zero-inat-def: 0 == Fin 0
  iSuc-def: iSuc i == case i of Fin n => Fin (Suc n) | ∞ => ∞
  iless-def: m < n ==
    case m of Fin m1 => (case n of Fin n1 => m1 < n1 | ∞ => True)
    | ∞ => False
  ile-def: (m::inat) ≤ n == ¬ (n < m)

lemmas inat-defs = Zero-inat-def iSuc-def illess-def ile-def
lemmas inat-splits = inat.split inat.split-asm

```

Below is a not quite complete set of theorems. Use the method (*simp add: inat-defs split:inat-splits, arith?*) to prove new theorems or solve arithmetic

subgoals involving *inat* on the fly.

## 10.2 Constructors

**lemma** *Fin-0*:  $\text{Fin } 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *Infty-ne-i0* [simp]:  $\infty \neq 0$   
 $\langle \text{proof} \rangle$

**lemma** *i0-ne-Infty* [simp]:  $0 \neq \infty$   
 $\langle \text{proof} \rangle$

**lemma** *iSuc-Fin* [simp]:  $\text{iSuc } (\text{Fin } n) = \text{Fin } (\text{Suc } n)$   
 $\langle \text{proof} \rangle$

**lemma** *iSuc-Infty* [simp]:  $\text{iSuc } \infty = \infty$   
 $\langle \text{proof} \rangle$

**lemma** *iSuc-ne-0* [simp]:  $\text{iSuc } n \neq 0$   
 $\langle \text{proof} \rangle$

**lemma** *iSuc-inject* [simp]:  $(\text{iSuc } x = \text{iSuc } y) = (x = y)$   
 $\langle \text{proof} \rangle$

## 10.3 Ordering relations

**lemma** *Infty-ilessE* [elim!]:  $\infty < \text{Fin } m \implies R$   
 $\langle \text{proof} \rangle$

**lemma** *iless-linear*:  $m < n \vee m = n \vee n < (m::\text{inat})$   
 $\langle \text{proof} \rangle$

**lemma** *iless-not-refl* [simp]:  $\neg n < (n::\text{inat})$   
 $\langle \text{proof} \rangle$

**lemma** *iless-trans*:  $i < j \implies j < k \implies i < (k::\text{inat})$   
 $\langle \text{proof} \rangle$

**lemma** *iless-not-sym*:  $n < m \implies \neg m < (n::\text{inat})$   
 $\langle \text{proof} \rangle$

**lemma** *Fin-iless-mono* [simp]:  $(\text{Fin } n < \text{Fin } m) = (n < m)$   
 $\langle \text{proof} \rangle$

**lemma** *Fin-iless-Infty* [simp]:  $\text{Fin } n < \infty$   
 $\langle \text{proof} \rangle$

**lemma** *Infty-eq* [simp]:  $(n < \infty) = (n \neq \infty)$

$\langle proof \rangle$

**lemma** *i0-eq* [simp]:  $((0::inat) < n) = (n \neq 0)$   
 $\langle proof \rangle$

**lemma** *i0-iless-iSuc* [simp]:  $0 < iSuc\ n$   
 $\langle proof \rangle$

**lemma** *not-ilessi0* [simp]:  $\neg n < (0::inat)$   
 $\langle proof \rangle$

**lemma** *Fin-iless*:  $n < Fin\ m \implies \exists k. n = Fin\ k$   
 $\langle proof \rangle$

**lemma** *iSuc-mono* [simp]:  $(iSuc\ n < iSuc\ m) = (n < m)$   
 $\langle proof \rangle$

**lemma** *ile-def2*:  $(m \leq n) = (m < n \vee m = (n::inat))$   
 $\langle proof \rangle$

**lemma** *ile-refl* [simp]:  $n \leq (n::inat)$   
 $\langle proof \rangle$

**lemma** *ile-trans*:  $i \leq j \implies j \leq k \implies i \leq (k::inat)$   
 $\langle proof \rangle$

**lemma** *ile-iless-trans*:  $i \leq j \implies j < k \implies i < (k::inat)$   
 $\langle proof \rangle$

**lemma** *iless-ile-trans*:  $i < j \implies j \leq k \implies i < (k::inat)$   
 $\langle proof \rangle$

**lemma** *Infty-ub* [simp]:  $n \leq \infty$   
 $\langle proof \rangle$

**lemma** *i0-lb* [simp]:  $(0::inat) \leq n$   
 $\langle proof \rangle$

**lemma** *Infty-ileE* [elim!]:  $\infty \leq Fin\ m \implies R$   
 $\langle proof \rangle$

**lemma** *Fin-ile-mono* [simp]:  $(Fin\ n \leq Fin\ m) = (n \leq m)$   
 $\langle proof \rangle$

**lemma** *ilessI1*:  $n \leq m \implies n \neq m \implies n < (m::inat)$   
 $\langle proof \rangle$

```

lemma ileI1:  $m < n \implies iSuc\ m \leq n$ 
  <proof>

lemma Suc-ile-eq:  $(Fin\ (Suc\ m) \leq n) = (Fin\ m < n)$ 
  <proof>

lemma iSuc-ile-mono [simp]:  $(iSuc\ n \leq iSuc\ m) = (n \leq m)$ 
  <proof>

lemma iless-Suc-eq [simp]:  $(Fin\ m < iSuc\ n) = (Fin\ m \leq n)$ 
  <proof>

lemma not-iSuc-ilei0 [simp]:  $\neg iSuc\ n \leq 0$ 
  <proof>

lemma ile-iSuc [simp]:  $n \leq iSuc\ n$ 
  <proof>

lemma Fin-ile:  $n \leq Fin\ m \implies \exists k. n = Fin\ k$ 
  <proof>

lemma chain-incr:  $\forall i. \exists j. Y\ i < Y\ j \implies \exists j. Fin\ k < Y\ j$ 
  <proof>

end

```

## 11 Nested-Environment: Nested environments

```

theory Nested-Environment
imports Main
begin

```

Consider a partial function  $e :: 'a \Rightarrow 'b\ option$ ; this may be understood as an *environment* mapping indexes  $'a$  to optional entry values  $'b$  (cf. the basic theory *Map* of Isabelle/HOL). This basic idea is easily generalized to that of a *nested environment*, where entries may be either basic values or again proper environments. Then each entry is accessed by a *path*, i.e. a list of indexes leading to its position within the structure.

```

datatype ('a, 'b, 'c) env =
  Val 'a
| Env 'b 'c => ('a, 'b, 'c) env option

```

In the type  $( 'a, 'b, 'c )\ env$  the parameter  $'a$  refers to basic values (occurring in terminal positions), type  $'b$  to values associated with proper (inner) environments, and type  $'c$  with the index type for branching. Note that there is



no restriction on any of these types. In particular, arbitrary branching may yield rather large (transfinite) tree structures.

### 11.1 The lookup operation

Lookup in nested environments works by following a given path of index elements, leading to an optional result (a terminal value or nested environment). A *defined position* within a nested environment is one where *lookup* at its path does not yield *None*.

#### consts

*lookup* :: ('a, 'b, 'c) env => 'c list => ('a, 'b, 'c) env option  
*lookup-option* :: ('a, 'b, 'c) env option => 'c list => ('a, 'b, 'c) env option

#### primrec (*lookup*)

*lookup* (Val a) xs = (if xs = [] then Some (Val a) else None)  
*lookup* (Env b es) xs =  
 (case xs of  
 [] => Some (Env b es)  
 | y # ys => *lookup-option* (es y) ys)  
*lookup-option* None xs = None  
*lookup-option* (Some e) xs = *lookup* e xs

#### hide const *lookup-option*

The characteristic cases of *lookup* are expressed by the following equalities.

**theorem** *lookup-nil*: *lookup* e [] = Some e  
 <proof>

**theorem** *lookup-val-cons*: *lookup* (Val a) (x # xs) = None  
 <proof>

#### **theorem** *lookup-env-cons*:

*lookup* (Env b es) (x # xs) =  
 (case es x of  
 None => None  
 | Some e => *lookup* e xs)  
 <proof>

#### **lemmas** *lookup.simps* [*simp del*]

**and** *lookup-simps* [*simp*] = *lookup-nil lookup-val-cons lookup-env-cons*

#### **theorem** *lookup-eq*:

*lookup* env xs =  
 (case xs of  
 [] => Some env  
 | x # xs =>  
 (case env of

```

    Val a => None
  | Env b es =>
    (case es x of
      None => None
    | Some e => lookup e xs)))
⟨proof⟩

```

Displaced *lookup* operations, relative to a certain base path prefix, may be reduced as follows. There are two cases, depending whether the environment actually extends far enough to follow the base path.

**theorem** *lookup-append-none*:

```

!!env. lookup env xs = None ==> lookup env (xs @ ys) = None
(is PROP ?P xs)
⟨proof⟩

```

**theorem** *lookup-append-some*:

```

!!env e. lookup env xs = Some e ==> lookup env (xs @ ys) = lookup e ys
(is PROP ?P xs)
⟨proof⟩

```

Successful *lookup* deeper down an environment structure means we are able to peek further up as well. Note that this is basically just the contrapositive statement of *lookup-append-none* above.

**theorem** *lookup-some-append*:

```

lookup env (xs @ ys) = Some e ==> ∃ e. lookup env xs = Some e
⟨proof⟩

```

The subsequent statement describes in more detail how a successful *lookup* with a non-empty path results in a certain situation at any upper position.

**theorem** *lookup-some-upper*: !!env e.

```

lookup env (xs @ y # ys) = Some e ==>
  ∃ b' es' env'.
    lookup env xs = Some (Env b' es') ∧
    es' y = Some env' ∧
    lookup env' ys = Some e
(is PROP ?P xs is !!env e. ?A env e xs ==> ?C env e xs)
⟨proof⟩

```

## 11.2 The update operation

Update at a certain position in a nested environment may either delete an existing entry, or overwrite an existing one. Note that update at undefined positions is simple absorbed, i.e. the environment is left unchanged.

**consts**

```

update :: 'c list => ('a, 'b, 'c) env option
=> ('a, 'b, 'c) env => ('a, 'b, 'c) env

```

*update-option* :: 'c list => ('a, 'b, 'c) env option  
 => ('a, 'b, 'c) env option => ('a, 'b, 'c) env option

**primrec** (*update*)  
*update* xs opt (Val a) =  
 (if xs = [] then (case opt of None => Val a | Some e => e)  
 else Val a)  
*update* xs opt (Env b es) =  
 (case xs of  
 [] => (case opt of None => Env b es | Some e => e)  
 | y # ys => Env b (es (y := *update-option* ys opt (es y))))  
*update-option* xs opt None =  
 (if xs = [] then opt else None)  
*update-option* xs opt (Some e) =  
 (if xs = [] then opt else Some (*update* xs opt e))

**hide** const *update-option*

The characteristic cases of *update* are expressed by the following equalities.

**theorem** *update-nil-none*: *update* [] None env = env  
 <proof>

**theorem** *update-nil-some*: *update* [] (Some e) env = e  
 <proof>

**theorem** *update-cons-val*: *update* (x # xs) opt (Val a) = Val a  
 <proof>

**theorem** *update-cons-nil-env*:  
*update* [x] opt (Env b es) = Env b (es (x := opt))  
 <proof>

**theorem** *update-cons-cons-env*:  
*update* (x # y # ys) opt (Env b es) =  
 Env b (es (x :=  
 (case es x of  
 None => None  
 | Some e => Some (*update* (y # ys) opt e))))  
 <proof>

**lemmas** *update.simps* [simp del]  
**and** *update-simps* [simp] = *update-nil-none* *update-nil-some*  
*update-cons-val* *update-cons-nil-env* *update-cons-cons-env*

**lemma** *update-eq*:  
*update* xs opt env =  
 (case xs of  
 [] =>  
 (case opt of

```

      None => env
    | Some e => e)
  | x # xs =>
    (case env of
      Val a => Val a
    | Env b es =>
      (case xs of
        [] => Env b (es (x := opt))
      | y # ys =>
        Env b (es (x :=
          (case es x of
            None => None
          | Some e => Some (update (y # ys) opt e)))))))
  <proof>

```

The most basic correspondence of *lookup* and *update* states that after *update* at a defined position, subsequent *lookup* operations would yield the new value.

**theorem** *lookup-update-some*:

```

!!env e. lookup env xs = Some e ==>
  lookup (update xs (Some env') env) xs = Some env'
(is PROP ?P xs)
<proof>

```

The properties of displaced *update* operations are analogous to those of *lookup* above. There are two cases: below an undefined position *update* is absorbed altogether, and below a defined positions *update* affects subsequent *lookup* operations in the obvious way.

**theorem** *update-append-none*:

```

!!env. lookup env xs = None ==> update (xs @ y # ys) opt env = env
(is PROP ?P xs)
<proof>

```

**theorem** *update-append-some*:

```

!!env e. lookup env xs = Some e ==>
  lookup (update (xs @ y # ys) opt env) xs = Some (update (y # ys) opt e)
(is PROP ?P xs)
<proof>

```

Apparently, *update* does not affect the result of subsequent *lookup* operations at independent positions, i.e. in case that the paths for *update* and *lookup* fork at a certain point.

**theorem** *lookup-update-other*:

```

!!env. y ≠ (z::'c) ==> lookup (update (xs @ z # zs) opt env) (xs @ y # ys) =
  lookup env (xs @ y # ys)
(is PROP ?P xs)

```

$\langle proof \rangle$

end

## 12 Permutation: Permutations

**theory** *Permutation*

**imports** *Multiset*

**begin**

**consts**

*perm* :: ('a list \* 'a list) set

**syntax**

-perm :: 'a list => 'a list => bool    (- <~~> - [50, 50] 50)

**translations**

$x <~~> y == (x, y) \in perm$

**inductive** *perm*

**intros**

*Nil* [intro!]: [] <~~> []

*swap* [intro!]:  $y \# x \# l <~~> x \# y \# l$

*Cons* [intro!]:  $xs <~~> ys ==> z \# xs <~~> z \# ys$

*trans* [intro]:  $xs <~~> ys ==> ys <~~> zs ==> xs <~~> zs$

**lemma** *perm-refl* [iff]:  $l <~~> l$

$\langle proof \rangle$

### 12.1 Some examples of rule induction on permutations

**lemma** *xperm-empty-imp-aux*:  $xs <~~> ys ==> xs = [] \longrightarrow ys = []$

— the form of the premise lets the induction bind *xs* and *ys*

$\langle proof \rangle$

**lemma** *xperm-empty-imp*:  $[] <~~> ys ==> ys = []$

$\langle proof \rangle$

This more general theorem is easier to understand!

**lemma** *perm-length*:  $xs <~~> ys ==> length\ xs = length\ ys$

$\langle proof \rangle$

**lemma** *perm-empty-imp*:  $[] <~~> xs ==> xs = []$

$\langle proof \rangle$

**lemma** *perm-sym*:  $xs <~~> ys ==> ys <~~> xs$

$\langle proof \rangle$

**lemma** *perm-mem* [rule-format]:  $xs <\sim\sim> ys \implies x \text{ mem } xs \dashrightarrow x \text{ mem } ys$   
 $\langle proof \rangle$

## 12.2 Ways of making new permutations

We can insert the head anywhere in the list.

**lemma** *perm-append-Cons*:  $a \# xs @ ys <\sim\sim> xs @ a \# ys$   
 $\langle proof \rangle$

**lemma** *perm-append-swap*:  $xs @ ys <\sim\sim> ys @ xs$   
 $\langle proof \rangle$

**lemma** *perm-append-single*:  $a \# xs <\sim\sim> xs @ [a]$   
 $\langle proof \rangle$

**lemma** *perm-rev*:  $\text{rev } xs <\sim\sim> xs$   
 $\langle proof \rangle$

**lemma** *perm-append1*:  $xs <\sim\sim> ys \implies l @ xs <\sim\sim> l @ ys$   
 $\langle proof \rangle$

**lemma** *perm-append2*:  $xs <\sim\sim> ys \implies xs @ l <\sim\sim> ys @ l$   
 $\langle proof \rangle$

## 12.3 Further results

**lemma** *perm-empty* [iff]:  $([] <\sim\sim> xs) = (xs = [])$   
 $\langle proof \rangle$

**lemma** *perm-empty2* [iff]:  $(xs <\sim\sim> []) = (xs = [])$   
 $\langle proof \rangle$

**lemma** *perm-sing-imp* [rule-format]:  $ys <\sim\sim> xs \implies xs = [y] \dashrightarrow ys = [y]$   
 $\langle proof \rangle$

**lemma** *perm-sing-eq* [iff]:  $(ys <\sim\sim> [y]) = (ys = [y])$   
 $\langle proof \rangle$

**lemma** *perm-sing-eq2* [iff]:  $([y] <\sim\sim> ys) = (ys = [y])$   
 $\langle proof \rangle$

## 12.4 Removing elements

**consts**

*remove* :: 'a => 'a list => 'a list

**primrec**

*remove*  $x [] = []$

$remove\ x\ (y\ \# \ ys) = (if\ x = y\ then\ ys\ else\ y\ \# \ remove\ x\ ys)$

**lemma** *perm-remove*:  $x \in set\ ys \implies ys <\sim\sim> x\ \# \ remove\ x\ ys$   
 $\langle proof \rangle$

**lemma** *remove-commute*:  $remove\ x\ (remove\ y\ l) = remove\ y\ (remove\ x\ l)$   
 $\langle proof \rangle$

**lemma** *multiset-of-remove* [simp]:  
 $multiset-of\ (remove\ a\ x) = multiset-of\ x - \{\#a\# \}$   
 $\langle proof \rangle$

Congruence rule

**lemma** *perm-remove-perm*:  $xs <\sim\sim> ys \implies remove\ z\ xs <\sim\sim> remove\ z\ ys$   
 $\langle proof \rangle$

**lemma** *remove-hd* [simp]:  $remove\ z\ (z\ \# \ xs) = xs$   
 $\langle proof \rangle$

**lemma** *cons-perm-imp-perm*:  $z\ \# \ xs <\sim\sim> z\ \# \ ys \implies xs <\sim\sim> ys$   
 $\langle proof \rangle$

**lemma** *cons-perm-eq* [iff]:  $(z\ \# \ xs <\sim\sim> z\ \# \ ys) = (xs <\sim\sim> ys)$   
 $\langle proof \rangle$

**lemma** *append-perm-imp-perm*:  $!!xs\ ys.\ zs\ @\ xs <\sim\sim> zs\ @\ ys \implies xs <\sim\sim> ys$   
 $\langle proof \rangle$

**lemma** *perm-append1-eq* [iff]:  $(zs\ @\ xs <\sim\sim> zs\ @\ ys) = (xs <\sim\sim> ys)$   
 $\langle proof \rangle$

**lemma** *perm-append2-eq* [iff]:  $(xs\ @\ zs <\sim\sim> ys\ @\ zs) = (xs <\sim\sim> ys)$   
 $\langle proof \rangle$

**lemma** *multiset-of-eq-perm*:  $(multiset-of\ xs = multiset-of\ ys) = (xs <\sim\sim> ys)$   
 $\langle proof \rangle$

**lemma** *multiset-of-le-perm-append*:  
 $(multiset-of\ xs \leq\# \ multiset-of\ ys) = (\exists\ zs.\ xs\ @\ zs <\sim\sim> ys)$   
 $\langle proof \rangle$

end

## 13 Primes: Primality on nat

theory *Primes*

```

imports Main
begin

constdefs
  coprime :: nat => nat => bool
  coprime m n == gcd (m, n) = 1

  prime :: nat => bool
  prime p == 1 < p ∧ (∀ m. m dvd p --> m = 1 ∨ m = p)

lemma two-is-prime: prime 2
  ⟨proof⟩

lemma prime-imp-relprime: prime p ==> ¬ p dvd n ==> gcd (p, n) = 1
  ⟨proof⟩

This theorem leads immediately to a proof of the uniqueness of factorization.
If  $p$  divides a product of primes then it is one of those primes.

lemma prime-dvd-mult: prime p ==> p dvd m * n ==> p dvd m ∨ p dvd n
  ⟨proof⟩

lemma prime-dvd-square: prime p ==> p dvd m ^ Suc (Suc 0) ==> p dvd m
  ⟨proof⟩

lemma prime-dvd-power-two: prime p ==> p dvd m2 ==> p dvd m
  ⟨proof⟩

end

```

## 14 Quotient: Quotient types

```

theory Quotient
imports Main
begin

```

We introduce the notion of quotient types over equivalence relations via axiomatic type classes.

### 14.1 Equivalence relations and quotient types

Type class *equiv* models equivalence relations  $\sim :: 'a \Rightarrow 'a \Rightarrow bool$ .

```

axclass eqv ⊆ type
consts
  eqv :: ('a::eqv) => 'a => bool  (infixl ~ 50)

```



**axclass** *equiv*  $\subseteq$  *eqv*

*equiv-refl* [*intro*]:  $x \sim x$

*equiv-trans* [*trans*]:  $x \sim y \implies y \sim z \implies x \sim z$

*equiv-sym* [*sym*]:  $x \sim y \implies y \sim x$

**lemma** *equiv-not-sym* [*sym*]:  $\neg (x \sim y) \implies \neg (y \sim (x::'a::equiv))$   
 $\langle proof \rangle$

**lemma** *not-equiv-trans1* [*trans*]:  $\neg (x \sim y) \implies y \sim z \implies \neg (x \sim (z::'a::equiv))$   
 $\langle proof \rangle$

**lemma** *not-equiv-trans2* [*trans*]:  $x \sim y \implies \neg (y \sim z) \implies \neg (x \sim (z::'a::equiv))$   
 $\langle proof \rangle$

The quotient type *'a quot* consists of all *equivalence classes* over elements of the base type *'a*.

**typedef** *'a quot* =  $\{\{x. a \sim x\} \mid a::'a::equiv. True\}$   
 $\langle proof \rangle$

**lemma** *quotI* [*intro*]:  $\{x. a \sim x\} \in quot$   
 $\langle proof \rangle$

**lemma** *quotE* [*elim*]:  $R \in quot \implies (!a. R = \{x. a \sim x\} \implies C) \implies C$   
 $\langle proof \rangle$

Abstracted equivalence classes are the canonical representation of elements of a quotient type.

**constdefs**

*class* :: *'a::equiv*  $\Rightarrow$  *'a quot* ( $\lfloor - \rfloor$ )

$\lfloor a \rfloor == Abs-quot \{x. a \sim x\}$

**theorem** *quot-exhaust*:  $\exists a. A = \lfloor a \rfloor$   
 $\langle proof \rangle$

**lemma** *quot-cases* [*cases type: quot*]:  $(!a. A = \lfloor a \rfloor \implies C) \implies C$   
 $\langle proof \rangle$

## 14.2 Equality on quotients

Equality of canonical quotient elements coincides with the original relation.

**theorem** *quot-equality* [*iff?*]:  $(\lfloor a \rfloor = \lfloor b \rfloor) = (a \sim b)$   
 $\langle proof \rangle$

## 14.3 Picking representing elements

**constdefs**

$\text{pick} :: 'a::\text{equiv quot} \Rightarrow 'a$   
 $\text{pick } A == \text{SOME } a. A = \lfloor a \rfloor$

**theorem** *pick-equiv* [intro]:  $\text{pick } \lfloor a \rfloor \sim a$   
 $\langle \text{proof} \rangle$

**theorem** *pick-inverse* [intro]:  $\lfloor \text{pick } A \rfloor = A$   
 $\langle \text{proof} \rangle$

The following rules support canonical function definitions on quotient types (with up to two arguments). Note that the stripped-down version without additional conditions is sufficient most of the time.

**theorem** *quot-cond-function*:  
 $(!!X Y. P X Y \Rightarrow f X Y == g (\text{pick } X) (\text{pick } Y)) \Rightarrow$   
 $(!!x x' y y'. \lfloor x \rfloor = \lfloor x' \rfloor \Rightarrow \lfloor y \rfloor = \lfloor y' \rfloor \Rightarrow$   
 $\Rightarrow P \lfloor x \rfloor \lfloor y \rfloor \Rightarrow P \lfloor x' \rfloor \lfloor y' \rfloor \Rightarrow g x y = g x' y') \Rightarrow$   
 $P \lfloor a \rfloor \lfloor b \rfloor \Rightarrow f \lfloor a \rfloor \lfloor b \rfloor = g a b$   
 $(\text{is } PROP \text{ ?eq} \Rightarrow PROP \text{ ?cong} \Rightarrow - \Rightarrow -)$   
 $\langle \text{proof} \rangle$

**theorem** *quot-function*:  
 $(!!X Y. f X Y == g (\text{pick } X) (\text{pick } Y)) \Rightarrow$   
 $(!!x x' y y'. \lfloor x \rfloor = \lfloor x' \rfloor \Rightarrow \lfloor y \rfloor = \lfloor y' \rfloor \Rightarrow g x y = g x' y') \Rightarrow$   
 $f \lfloor a \rfloor \lfloor b \rfloor = g a b$   
 $\langle \text{proof} \rangle$

**theorem** *quot-function'*:  
 $(!!X Y. f X Y == g (\text{pick } X) (\text{pick } Y)) \Rightarrow$   
 $(!!x x' y y'. x \sim x' \Rightarrow y \sim y' \Rightarrow g x y = g x' y') \Rightarrow$   
 $f \lfloor a \rfloor \lfloor b \rfloor = g a b$   
 $\langle \text{proof} \rangle$

end

## 15 While-Combinator: A general “while” combinator

**theory** *While-Combinator*  
**imports** *Main*  
**begin**

We define a while-combinator *while* and prove: (a) an unrestricted unfolding law (even if while diverges!) (I got this idea from Wolfgang Goerigk), and (b) the invariant rule for reasoning about *while*.

**consts** *while-aux* ::  $('a \Rightarrow \text{bool}) \times ('a \Rightarrow 'a) \times 'a \Rightarrow 'a$   
**recdef** (**permissive**) *while-aux*

```

same-fst ( $\lambda b. \text{True}$ ) ( $\lambda b. \text{same-fst } (\lambda c. \text{True}) (\lambda c. \{ (t, s). \ b \ s \wedge c \ s = t \wedge \neg (\exists f. f \ (0::\text{nat}) = s \wedge (\forall i. b \ (f \ i) \wedge c \ (f \ i) = f \ (i + 1))) \}$ )
while-aux ( $b, c, s$ ) =
  (if ( $\exists f. f \ (0::\text{nat}) = s \wedge (\forall i. b \ (f \ i) \wedge c \ (f \ i) = f \ (i + 1))$ )
    then arbitrary
    else if  $b \ s$  then while-aux ( $b, c, c \ s$ )
    else  $s$ )

```

**recdef-tc** while-aux-tc: while-aux  
 <proof>

**constdefs**  
 while :: ( $'a \Rightarrow \text{bool}$ )  $\Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$   
 while  $b \ c \ s == \text{while-aux } (b, c, s)$

**lemma** while-aux-unfold:  
 while-aux ( $b, c, s$ ) =  
 (if  $\exists f. f \ (0::\text{nat}) = s \wedge (\forall i. b \ (f \ i) \wedge c \ (f \ i) = f \ (i + 1))$   
 then arbitrary  
 else if  $b \ s$  then while-aux ( $b, c, c \ s$ )  
 else  $s$ )  
 <proof>

The recursion equation for *while*: directly executable!

**theorem** while-unfold [code]:  
 while  $b \ c \ s = (\text{if } b \ s \text{ then while } b \ c \ (c \ s) \text{ else } s)$   
 <proof>

**hide** const while-aux

**lemma** def-while-unfold: **assumes** fdef:  $f == \text{while test do}$   
**shows**  $f \ x = (\text{if test } x \text{ then } f(\text{do } x) \text{ else } x)$   
 <proof>

The proof rule for *while*, where  $P$  is the invariant.

**theorem** while-rule-lemma[rule-format]:  
 [| !! $s. P \ s \ ==> b \ s \ ==> P \ (c \ s);$   
 !! $s. P \ s \ ==> \neg b \ s \ ==> Q \ s;$   
 wf  $\{(t, s). P \ s \wedge b \ s \wedge t = c \ s\}$  |]  $\implies$   
 $P \ s \ --> Q \ (\text{while } b \ c \ s)$   
 <proof>

**theorem** while-rule:  
 [|  $P \ s;$   
 !! $s. [| P \ s; b \ s \ |] \implies P \ (c \ s);$   
 !! $s. [| P \ s; \neg b \ s \ |] \implies Q \ s;$   
 wf  $r;$   
 !! $s. [| P \ s; b \ s \ |] \implies (c \ s, s) \in r \ |] \implies$

$Q \text{ (while } b \text{ c s)}$   
 $\langle \text{proof} \rangle$

An application: computation of the *lfp* on finite sets via iteration.

**theorem** *lfp-conv-while*:

$[[ \text{mono } f; \text{finite } U; f \ U = U ]] ==>$   
 $\text{lfp } f = \text{fst (while } (\lambda(A, fA). A \neq fA) (\lambda(A, fA). (fA, f \ fA)) (\{\}, f \ \{\}))$   
 $\langle \text{proof} \rangle$

An example of using the *while* combinator.

Cannot use *set-eq-subset* because it leads to looping because the antisymmetry simproc turns the subset relationship back into equality.

**lemma** *seteq*:  $(A = B) = ((!a : A. a:B) \ \& \ (!b:B. b:A))$   
 $\langle \text{proof} \rangle$

**theorem**  $P \text{ (lfp } (\lambda N::\text{int set. } \{0\} \cup \{(n + 2) \bmod 6 \mid n. n \in N\})) =$   
 $P \ \{0, 4, 2\}$   
 $\langle \text{proof} \rangle$

**end**

## 16 Word: Binary Words

**theory** *Word*  
**imports** *Main*  
**uses** *word-setup.ML*  
**begin**

### 16.1 Auxiliary Lemmas

**lemma** *max-le* [*intro!*]:  $[[ x \leq z; y \leq z ]] ==> \text{max } x \ y \leq z$   
 $\langle \text{proof} \rangle$

**lemma** *max-mono*:  
**fixes**  $x :: 'a::\text{linorder}$   
**assumes**  $mf: \text{mono } f$   
**shows**  $\text{max } (f \ x) \ (f \ y) \leq f \ (\text{max } x \ y)$   
 $\langle \text{proof} \rangle$

**declare** *zero-le-power* [*intro*]  
**and** *zero-less-power* [*intro*]

**lemma** *int-nat-two-exp*:  $2 \ ^k = \text{int } (2 \ ^k)$   
 $\langle \text{proof} \rangle$

## 16.2 Bits

**datatype** *bit*

= *Zero* (**0**)

| *One* (**1**)

**consts**

*bitval* :: *bit* => *nat*

**primrec**

*bitval* **0** = 0

*bitval* **1** = 1

**consts**

*bitnot* :: *bit* => *bit*

*bitand* :: *bit* => *bit* => *bit* (**infixr** *bitand* 35)

*bitor* :: *bit* => *bit* => *bit* (**infixr** *bitor* 30)

*bitxor* :: *bit* => *bit* => *bit* (**infixr** *bitxor* 30)

**syntax** (*xsymbols*)

*bitnot* :: *bit* => *bit* ( $\neg_b$  - [40] 40)

*bitand* :: *bit* => *bit* => *bit* (**infixr**  $\wedge_b$  35)

*bitor* :: *bit* => *bit* => *bit* (**infixr**  $\vee_b$  30)

*bitxor* :: *bit* => *bit* => *bit* (**infixr**  $\oplus_b$  30)

**syntax** (*HTML output*)

*bitnot* :: *bit* => *bit* ( $\neg_b$  - [40] 40)

*bitand* :: *bit* => *bit* => *bit* (**infixr**  $\wedge_b$  35)

*bitor* :: *bit* => *bit* => *bit* (**infixr**  $\vee_b$  30)

*bitxor* :: *bit* => *bit* => *bit* (**infixr**  $\oplus_b$  30)

**primrec**

*bitnot-zero*: (*bitnot* **0**) = **1**

*bitnot-one* : (*bitnot* **1**) = **0**

**primrec**

*bitand-zero*: (**0** *bitand* *y*) = **0**

*bitand-one*: (**1** *bitand* *y*) = *y*

**primrec**

*bitor-zero*: (**0** *bitor* *y*) = *y*

*bitor-one*: (**1** *bitor* *y*) = **1**

**primrec**

*bitxor-zero*: (**0** *bitxor* *y*) = *y*

*bitxor-one*: (**1** *bitxor* *y*) = (*bitnot* *y*)

**lemma** *bitnot-bitnot* [*simp*]: (*bitnot* (*bitnot* *b*)) = *b*

*<proof>*

**lemma** *bitand-cancel* [simp]:  $(b \text{ bitand } b) = b$   
 ⟨proof⟩

**lemma** *bitor-cancel* [simp]:  $(b \text{ bitor } b) = b$   
 ⟨proof⟩

**lemma** *bitxor-cancel* [simp]:  $(b \text{ bitxor } b) = 0$   
 ⟨proof⟩

### 16.3 Bit Vectors

First, a couple of theorems expressing case analysis and induction principles for bit vectors.

**lemma** *bit-list-cases*:  
**assumes** *empty*:  $w = [] \implies P \ w$   
**and** *zero*:  $!!bs. w = 0 \ \# \ bs \implies P \ w$   
**and** *one*:  $!!bs. w = 1 \ \# \ bs \implies P \ w$   
**shows**  $P \ w$   
 ⟨proof⟩

**lemma** *bit-list-induct*:  
**assumes** *empty*:  $P \ []$   
**and** *zero*:  $!!bs. P \ bs \implies P \ (0 \ \# \ bs)$   
**and** *one*:  $!!bs. P \ bs \implies P \ (1 \ \# \ bs)$   
**shows**  $P \ w$   
 ⟨proof⟩

#### constdefs

*bv-msb* :: *bit list* => *bit*  
*bv-msb*  $w ==$  if  $w = []$  then  $0$  else  $\text{hd } w$   
*bv-extend* :: [*nat*,*bit*,*bit list*] => *bit list*  
*bv-extend*  $i \ b \ w == (\text{replicate } (i - \text{length } w) \ b) @ w$   
*bv-not* :: *bit list* => *bit list*  
*bv-not*  $w == \text{map } \text{bitnot } w$

**lemma** *bv-length-extend* [simp]:  $\text{length } w \leq i \implies \text{length } (\text{bv-extend } i \ b \ w) = i$   
 ⟨proof⟩

**lemma** *bv-not-Nil* [simp]:  $\text{bv-not } [] = []$   
 ⟨proof⟩

**lemma** *bv-not-Cons* [simp]:  $\text{bv-not } (b \ \# \ bs) = (\text{bitnot } b) \ \# \ \text{bv-not } bs$   
 ⟨proof⟩

**lemma** *bv-not-bv-not* [simp]:  $\text{bv-not } (\text{bv-not } w) = w$   
 ⟨proof⟩

**lemma** *bv-msb-Nil* [simp]:  $\text{bv-msb } [] = 0$   
 ⟨proof⟩

**lemma** *bv-msb-Cons* [simp]:  $bv\_msb\ (b\#bs) = b$   
 ⟨proof⟩

**lemma** *bv-msb-bv-not* [simp]:  $0 < length\ w \implies bv\_msb\ (bv\_not\ w) = (bitnot\ (bv\_msb\ w))$   
 ⟨proof⟩

**lemma** *bv-msb-one-length* [simp,intro]:  $bv\_msb\ w = \mathbf{1} \implies 0 < length\ w$   
 ⟨proof⟩

**lemma** *length-bv-not* [simp]:  $length\ (bv\_not\ w) = length\ w$   
 ⟨proof⟩

**constdefs**

*bv-to-nat* :: *bit list* => *nat*  
*bv-to-nat* == foldl (%bn b. 2 \* bn + bitval b) 0

**lemma** *bv-to-nat-Nil* [simp]:  $bv\_to\_nat\ [] = 0$   
 ⟨proof⟩

**lemma** *bv-to-nat-helper* [simp]:  $bv\_to\_nat\ (b\ \# \ bs) = bitval\ b * 2^{length\ bs} + bv\_to\_nat\ bs$   
 ⟨proof⟩

**lemma** *bv-to-nat0* [simp]:  $bv\_to\_nat\ (\mathbf{0}\#bs) = bv\_to\_nat\ bs$   
 ⟨proof⟩

**lemma** *bv-to-nat1* [simp]:  $bv\_to\_nat\ (\mathbf{1}\#bs) = 2^{length\ bs} + bv\_to\_nat\ bs$   
 ⟨proof⟩

**lemma** *bv-to-nat-upper-range*:  $bv\_to\_nat\ w < 2^{length\ w}$   
 ⟨proof⟩

**lemma** *bv-extend-longer* [simp]:  
 assumes *wn*:  $n \leq length\ w$   
 shows  $bv\_extend\ n\ b\ w = w$   
 ⟨proof⟩

**lemma** *bv-extend-shorter* [simp]:  
 assumes *wn*:  $length\ w < n$   
 shows  $bv\_extend\ n\ b\ w = bv\_extend\ n\ b\ (b\#w)$   
 ⟨proof⟩

**consts**

*rem-initial* :: *bit* => *bit list* => *bit list*

**primrec**

*rem-initial* *b* [] = []

$rem\_initial\ b\ (x\#xs) = (if\ b = x\ then\ rem\_initial\ b\ xs\ else\ x\#xs)$

**lemma** *rem-initial-length*:  $length\ (rem\_initial\ b\ w) \leq length\ w$   
 $\langle proof \rangle$

**lemma** *rem-initial-equal*:  
**assumes**  $p$ :  $length\ (rem\_initial\ b\ w) = length\ w$   
**shows**  $rem\_initial\ b\ w = w$   
 $\langle proof \rangle$

**lemma** *bv-extend-rem-initial*:  $bv\_extend\ (length\ w)\ b\ (rem\_initial\ b\ w) = w$   
 $\langle proof \rangle$

**lemma** *rem-initial-append1*:  
**assumes**  $rem\_initial\ b\ xs \sim []$   
**shows**  $rem\_initial\ b\ (xs\ @\ ys) = rem\_initial\ b\ xs\ @\ ys$   
 $\langle proof \rangle$

**lemma** *rem-initial-append2*:  
**assumes**  $rem\_initial\ b\ xs = []$   
**shows**  $rem\_initial\ b\ (xs\ @\ ys) = rem\_initial\ b\ ys$   
 $\langle proof \rangle$

**constdefs**  
 $norm\_unsigned :: bit\ list \Rightarrow bit\ list$   
 $norm\_unsigned == rem\_initial\ \mathbf{0}$

**lemma** *norm-unsigned-Nil* [simp]:  $norm\_unsigned\ [] = []$   
 $\langle proof \rangle$

**lemma** *norm-unsigned-Cons0* [simp]:  $norm\_unsigned\ (\mathbf{0}\#bs) = norm\_unsigned\ bs$   
 $\langle proof \rangle$

**lemma** *norm-unsigned-Cons1* [simp]:  $norm\_unsigned\ (\mathbf{1}\#bs) = \mathbf{1}\#bs$   
 $\langle proof \rangle$

**lemma** *norm-unsigned-idem* [simp]:  $norm\_unsigned\ (norm\_unsigned\ w) = norm\_unsigned\ w$   
 $\langle proof \rangle$

**consts**  
 $nat\_to\_bv\_helper :: nat \Rightarrow bit\ list \Rightarrow bit\ list$

**recdef** *nat-to-bv-helper measure*  $(\lambda n. n)$   
 $nat\_to\_bv\_helper\ n = (\%bs. (if\ n = 0\ then\ bs$   
 $\quad\quad\quad else\ nat\_to\_bv\_helper\ (n\ div\ 2)\ ((if\ n\ mod\ 2 = 0\ then\ \mathbf{0}$   
 $\quad\quad\quad else\ \mathbf{1})\#bs)))$

**constdefs**



*nat-to-bv* :: *nat* ==> *bit list*  
*nat-to-bv* *n* == *nat-to-bv-helper* *n* []

**lemma** *nat-to-bv0* [*simp*]: *nat-to-bv* 0 = []  
 <proof>

**lemmas** [*simp del*] = *nat-to-bv-helper.simps*

**lemma** *n-div-2-cases*:  
**assumes** *zero*: (*n::nat*) = 0 ==> *R*  
**and** *div* : [| *n div* 2 < *n* ; 0 < *n* |] ==> *R*  
**shows** *R*  
 <proof>

**lemma** *int-wf-ge-induct*:  
**assumes** *base*: *P* (*k::int*)  
**and** *ind* : !!*i*. (!!*j*. [| *k* ≤ *j* ; *j* < *i* |] ==> *P j*) ==> *P i*  
**and** *valid*: *k* ≤ *i*  
**shows** *P i*  
 <proof>

**lemma** *unfold-nat-to-bv-helper*:  
*nat-to-bv-helper* *b l* = *nat-to-bv-helper* *b* [] @ *l*  
 <proof>

**lemma** *nat-to-bv-non0* [*simp*]: 0 < *n* ==> *nat-to-bv* *n* = *nat-to-bv* (*n div* 2) @  
 [if *n mod* 2 = 0 then 0 else 1]  
 <proof>

**lemma** *bv-to-nat-dist-append*: *bv-to-nat* (*l1* @ *l2*) = *bv-to-nat* *l1* \* 2 ^ *length* *l2*  
 + *bv-to-nat* *l2*  
 <proof>

**lemma** *bv-nat-bv* [*simp*]: *bv-to-nat* (*nat-to-bv* *n*) = *n*  
 <proof>

**lemma** *bv-to-nat-type* [*simp*]: *bv-to-nat* (*norm-unsigned* *w*) = *bv-to-nat* *w*  
 <proof>

**lemma** *length-norm-unsigned-le* [*simp*]: *length* (*norm-unsigned* *w*) ≤ *length* *w*  
 <proof>

**lemma** *bv-to-nat-rew-msb*: *bv-msb* *w* = 1 ==> *bv-to-nat* *w* = 2 ^ (*length* *w* - 1)  
 + *bv-to-nat* (*tl* *w*)  
 <proof>

**lemma** *norm-unsigned-result*: *norm-unsigned* *xs* = [] ∨ *bv-msb* (*norm-unsigned* *xs*)  
 = 1  
 <proof>

**lemma** *norm-empty-bv-to-nat-zero*:  
**assumes** *nw*: *norm-unsigned w* = []  
**shows** *bv-to-nat w* = 0  
 ⟨*proof*⟩

**lemma** *bv-to-nat-lower-limit*:  
**assumes** *w0*: 0 < *bv-to-nat w*  
**shows**  $2^{\wedge} (\text{length } (\text{norm-unsigned } w) - 1) \leq \text{bv-to-nat } w$   
 ⟨*proof*⟩

**lemmas** [*simp del*] = *nat-to-bv-non0*

**lemma** *norm-unsigned-length* [*intro!*]:  $\text{length } (\text{norm-unsigned } w) \leq \text{length } w$   
 ⟨*proof*⟩

**lemma** *norm-unsigned-equal*:  $\text{length } (\text{norm-unsigned } w) = \text{length } w \implies \text{norm-unsigned } w = w$   
 ⟨*proof*⟩

**lemma** *bv-extend-norm-unsigned*:  $\text{bv-extend } (\text{length } w) \mathbf{0} (\text{norm-unsigned } w) = w$   
 ⟨*proof*⟩

**lemma** *norm-unsigned-append1* [*simp*]:  $\text{norm-unsigned } xs \neq [] \implies \text{norm-unsigned } (xs @ ys) = \text{norm-unsigned } xs @ ys$   
 ⟨*proof*⟩

**lemma** *norm-unsigned-append2* [*simp*]:  $\text{norm-unsigned } xs = [] \implies \text{norm-unsigned } (xs @ ys) = \text{norm-unsigned } ys$   
 ⟨*proof*⟩

**lemma** *bv-to-nat-zero-imp-empty* [*rule-format*]:  
 $\text{bv-to-nat } w = 0 \longrightarrow \text{norm-unsigned } w = []$   
 ⟨*proof*⟩

**lemma** *bv-to-nat-nzero-imp-nempty*:  
**assumes** *bv-to-nat w* ≠ 0  
**shows** *norm-unsigned w* ≠ []  
 ⟨*proof*⟩

**lemma** *nat-helper1*:  
**assumes** *ass*: *nat-to-bv* (*bv-to-nat w*) = *norm-unsigned w*  
**shows** *nat-to-bv* ( $2 * \text{bv-to-nat } w + \text{bitval } x$ ) = *norm-unsigned* (*w* @ [*x*])  
 ⟨*proof*⟩

**lemma** *nat-helper2*:  $\text{nat-to-bv } (2^{\wedge} \text{length } xs + \text{bv-to-nat } xs) = \mathbf{1} \# xs$   
 ⟨*proof*⟩

**lemma** *nat-bv-nat* [*simp*]: *nat-to-bv* (*bv-to-nat w*) = *norm-unsigned w*

$\langle proof \rangle$

**lemma** *bv-to-nat-qinj*:

**assumes** *one*:  $bv\text{-}to\text{-}nat\ xs = bv\text{-}to\text{-}nat\ ys$

**and** *len*:  $length\ xs = length\ ys$

**shows**  $xs = ys$

$\langle proof \rangle$

**lemma** *norm-unsigned-nat-to-bv [simp]*:

$norm\text{-}unsigned\ (nat\text{-}to\text{-}bv\ n) = nat\text{-}to\text{-}bv\ n$

$\langle proof \rangle$

**lemma** *length-nat-to-bv-upper-limit*:

**assumes** *nk*:  $n \leq 2^k - 1$

**shows**  $length\ (nat\text{-}to\text{-}bv\ n) \leq k$

$\langle proof \rangle$

**lemma** *length-nat-to-bv-lower-limit*:

**assumes** *nk*:  $2^k \leq n$

**shows**  $k < length\ (nat\text{-}to\text{-}bv\ n)$

$\langle proof \rangle$

## 16.4 Unsigned Arithmetic Operations

**constdefs**

$bv\text{-}add :: [bit\ list, bit\ list] \Rightarrow bit\ list$

$bv\text{-}add\ w1\ w2 == nat\text{-}to\text{-}bv\ (bv\text{-}to\text{-}nat\ w1 + bv\text{-}to\text{-}nat\ w2)$

**lemma** *bv-add-type1 [simp]*:  $bv\text{-}add\ (norm\text{-}unsigned\ w1)\ w2 = bv\text{-}add\ w1\ w2$

$\langle proof \rangle$

**lemma** *bv-add-type2 [simp]*:  $bv\text{-}add\ w1\ (norm\text{-}unsigned\ w2) = bv\text{-}add\ w1\ w2$

$\langle proof \rangle$

**lemma** *bv-add-returntype [simp]*:  $norm\text{-}unsigned\ (bv\text{-}add\ w1\ w2) = bv\text{-}add\ w1\ w2$

$\langle proof \rangle$

**lemma** *bv-add-length*:  $length\ (bv\text{-}add\ w1\ w2) \leq Suc\ (max\ (length\ w1)\ (length\ w2))$

$\langle proof \rangle$

**constdefs**

$bv\text{-}mult :: [bit\ list, bit\ list] \Rightarrow bit\ list$

$bv\text{-}mult\ w1\ w2 == nat\text{-}to\text{-}bv\ (bv\text{-}to\text{-}nat\ w1 * bv\text{-}to\text{-}nat\ w2)$

**lemma** *bv-mult-type1 [simp]*:  $bv\text{-}mult\ (norm\text{-}unsigned\ w1)\ w2 = bv\text{-}mult\ w1\ w2$

$\langle proof \rangle$

**lemma** *bv-mult-type2 [simp]*:  $bv\text{-}mult\ w1\ (norm\text{-}unsigned\ w2) = bv\text{-}mult\ w1\ w2$

$\langle proof \rangle$

**lemma** *bv-mult-returntype* [simp]: *norm-unsigned* (bv-mult w1 w2) = bv-mult w1 w2  
 ⟨proof⟩

**lemma** *bv-mult-length*: *length* (bv-mult w1 w2) ≤ *length* w1 + *length* w2  
 ⟨proof⟩

## 16.5 Signed Vectors

**consts**

*norm-signed* :: bit list => bit list

**primrec**

*norm-signed-Nil*: *norm-signed* [] = []

*norm-signed-Cons*: *norm-signed* (b#bs) = (case b of 0 => if *norm-unsigned* bs = [] then [] else b#*norm-unsigned* bs | 1 => b#rem-initial b bs)

**lemma** *norm-signed0* [simp]: *norm-signed* [0] = []  
 ⟨proof⟩

**lemma** *norm-signed1* [simp]: *norm-signed* [1] = [1]  
 ⟨proof⟩

**lemma** *norm-signed01* [simp]: *norm-signed* (0#1#xs) = 0#1#xs  
 ⟨proof⟩

**lemma** *norm-signed00* [simp]: *norm-signed* (0#0#xs) = *norm-signed* (0#xs)  
 ⟨proof⟩

**lemma** *norm-signed10* [simp]: *norm-signed* (1#0#xs) = 1#0#xs  
 ⟨proof⟩

**lemma** *norm-signed11* [simp]: *norm-signed* (1#1#xs) = *norm-signed* (1#xs)  
 ⟨proof⟩

**lemmas** [simp del] = *norm-signed-Cons*

**constdefs**

*int-to-bv* :: int => bit list

*int-to-bv* n == if 0 ≤ n

then *norm-signed* (0#nat-to-bv (nat n))

else *norm-signed* (bv-not (0#nat-to-bv (nat (-n-1))))

**lemma** *int-to-bv-ge0* [simp]: 0 ≤ n ==> *int-to-bv* n = *norm-signed* (0 # nat-to-bv (nat n))  
 ⟨proof⟩

**lemma** *int-to-bv-lt0* [simp]: n < 0 ==> *int-to-bv* n = *norm-signed* (bv-not (0#nat-to-bv

$(\text{nat } (-n - 1)))$   
 $\langle \text{proof} \rangle$

**lemma** *norm-signed-idem* [simp]: *norm-signed (norm-signed w) = norm-signed w*  
 $\langle \text{proof} \rangle$

**constdefs**

*bv-to-int* :: *bit list* ==> *int*  
*bv-to-int* w == case *bv-msb* w of **0** ==> *int* (bv-to-nat w) | **1** ==> - *int* (bv-to-nat (bv-not w) + 1)

**lemma** *bv-to-int-Nil* [simp]: *bv-to-int [] = 0*  
 $\langle \text{proof} \rangle$

**lemma** *bv-to-int-Cons0* [simp]: *bv-to-int (0#bs) = int (bv-to-nat bs)*  
 $\langle \text{proof} \rangle$

**lemma** *bv-to-int-Cons1* [simp]: *bv-to-int (1#bs) = - int (bv-to-nat (bv-not bs) + 1)*  
 $\langle \text{proof} \rangle$

**lemma** *bv-to-int-type* [simp]: *bv-to-int (norm-signed w) = bv-to-int w*  
 $\langle \text{proof} \rangle$

**lemma** *bv-to-int-upper-range*: *bv-to-int w < 2 ^ (length w - 1)*  
 $\langle \text{proof} \rangle$

**lemma** *bv-to-int-lower-range*: *- (2 ^ (length w - 1)) ≤ bv-to-int w*  
 $\langle \text{proof} \rangle$

**lemma** *int-bv-int* [simp]: *int-to-bv (bv-to-int w) = norm-signed w*  
 $\langle \text{proof} \rangle$

**lemma** *bv-int-bv* [simp]: *bv-to-int (int-to-bv i) = i*  
 $\langle \text{proof} \rangle$

**lemma** *bv-msb-norm* [simp]: *bv-msb (norm-signed w) = bv-msb w*  
 $\langle \text{proof} \rangle$

**lemma** *norm-signed-length*: *length (norm-signed w) ≤ length w*  
 $\langle \text{proof} \rangle$

**lemma** *norm-signed-equal*: *length (norm-signed w) = length w ==> norm-signed w = w*  
 $\langle \text{proof} \rangle$

**lemma** *bv-extend-norm-signed*: *bv-msb w = b ==> bv-extend (length w) b (norm-signed w) = w*  
 $\langle \text{proof} \rangle$

**lemma** *bv-to-int-qinj*:

**assumes** *one*:  $\text{bv-to-int } xs = \text{bv-to-int } ys$

**and** *len*:  $\text{length } xs = \text{length } ys$

**shows**  $xs = ys$

$\langle \text{proof} \rangle$

**lemma** *int-to-bv-returntype [simp]*:  $\text{norm-signed } (\text{int-to-bv } w) = \text{int-to-bv } w$

$\langle \text{proof} \rangle$

**lemma** *bv-to-int-msb0*:  $0 \leq \text{bv-to-int } w1 \implies \text{bv-msb } w1 = 0$

$\langle \text{proof} \rangle$

**lemma** *bv-to-int-msb1*:  $\text{bv-to-int } w1 < 0 \implies \text{bv-msb } w1 = 1$

$\langle \text{proof} \rangle$

**lemma** *bv-to-int-lower-limit-gt0*:

**assumes** *w0*:  $0 < \text{bv-to-int } w$

**shows**  $2 \wedge (\text{length } (\text{norm-signed } w) - 2) \leq \text{bv-to-int } w$

$\langle \text{proof} \rangle$

**lemma** *norm-signed-result*:  $\text{norm-signed } w = [] \vee \text{norm-signed } w = [1] \vee \text{bv-msb } (\text{norm-signed } w) \neq \text{bv-msb } (\text{tl } (\text{norm-signed } w))$

$\langle \text{proof} \rangle$

**lemma** *bv-to-int-upper-limit-lem1*:

**assumes** *w0*:  $\text{bv-to-int } w < -1$

**shows**  $\text{bv-to-int } w < -(2 \wedge (\text{length } (\text{norm-signed } w) - 2))$

$\langle \text{proof} \rangle$

**lemma** *length-int-to-bv-upper-limit-gt0*:

**assumes** *w0*:  $0 < i$

**and** *wk*:  $i \leq 2 \wedge (k - 1) - 1$

**shows**  $\text{length } (\text{int-to-bv } i) \leq k$

$\langle \text{proof} \rangle$

**lemma** *pos-length-pos*:

**assumes** *i0*:  $0 < \text{bv-to-int } w$

**shows**  $0 < \text{length } w$

$\langle \text{proof} \rangle$

**lemma** *neg-length-pos*:

**assumes** *i0*:  $\text{bv-to-int } w < -1$

**shows**  $0 < \text{length } w$

$\langle \text{proof} \rangle$

**lemma** *length-int-to-bv-lower-limit-gt0*:

**assumes** *wk*:  $2 \wedge (k - 1) \leq i$

**shows**  $k < \text{length } (\text{int-to-bv } i)$

*<proof>*

**lemma** *length-int-to-bv-upper-limit-lem1*:

**assumes** *w1*:  $i < -1$

**and** *wk*:  $-(2 \wedge (k - 1)) \leq i$

**shows**  $\text{length } (\text{int-to-bv } i) \leq k$

*<proof>*

**lemma** *length-int-to-bv-lower-limit-lem1*:

**assumes** *wk*:  $i < -(2 \wedge (k - 1))$

**shows**  $k < \text{length } (\text{int-to-bv } i)$

*<proof>*

## 16.6 Signed Arithmetic Operations

### 16.6.1 Conversion from unsigned to signed

**constdefs**

*utos* :: *bit list* => *bit list*

*utos w* == *norm-signed* (**0** # *w*)

**lemma** *utos-type [simp]*:  $\text{utos } (\text{norm-unsigned } w) = \text{utos } w$

*<proof>*

**lemma** *utos-returntype [simp]*:  $\text{norm-signed } (\text{utos } w) = \text{utos } w$

*<proof>*

**lemma** *utos-length*:  $\text{length } (\text{utos } w) \leq \text{Suc } (\text{length } w)$

*<proof>*

**lemma** *bv-to-int-utos*:  $\text{bv-to-int } (\text{utos } w) = \text{int } (\text{bv-to-nat } w)$

*<proof>*

### 16.6.2 Unary minus

**constdefs**

*bv-uminus* :: *bit list* => *bit list*

*bv-uminus w* == *int-to-bv* ( $- \text{bv-to-int } w$ )

**lemma** *bv-uminus-type [simp]*:  $\text{bv-uminus } (\text{norm-signed } w) = \text{bv-uminus } w$

*<proof>*

**lemma** *bv-uminus-returntype [simp]*:  $\text{norm-signed } (\text{bv-uminus } w) = \text{bv-uminus } w$

*<proof>*

**lemma** *bv-uminus-length*:  $\text{length } (\text{bv-uminus } w) \leq \text{Suc } (\text{length } w)$

*<proof>*

**lemma** *bv-uminus-length-utos*:  $\text{length } (\text{bv-uminus } (\text{utos } w)) \leq \text{Suc } (\text{length } w)$

*<proof>*

**constdefs**

$bv\_sadd :: [bit\ list, bit\ list] \Rightarrow bit\ list$   
 $bv\_sadd\ w1\ w2 == int\_to\_bv\ (bv\_to\_int\ w1 + bv\_to\_int\ w2)$

**lemma** *bv-sadd-type1* [simp]:  $bv\_sadd\ (norm\_signed\ w1)\ w2 = bv\_sadd\ w1\ w2$   
 ⟨proof⟩

**lemma** *bv-sadd-type2* [simp]:  $bv\_sadd\ w1\ (norm\_signed\ w2) = bv\_sadd\ w1\ w2$   
 ⟨proof⟩

**lemma** *bv-sadd-returntype* [simp]:  $norm\_signed\ (bv\_sadd\ w1\ w2) = bv\_sadd\ w1\ w2$   
 ⟨proof⟩

**lemma** *adder-helper*:

**assumes**  $lw: 0 < \max\ (length\ w1)\ (length\ w2)$   
**shows**  $((2::int) ^ (length\ w1 - 1)) + (2 ^ (length\ w2 - 1)) \leq 2 ^ \max\ (length\ w1)\ (length\ w2)$   
 ⟨proof⟩

**lemma** *bv-sadd-length*:  $length\ (bv\_sadd\ w1\ w2) \leq Suc\ (\max\ (length\ w1)\ (length\ w2))$   
 ⟨proof⟩

**constdefs**

$bv\_sub :: [bit\ list, bit\ list] \Rightarrow bit\ list$   
 $bv\_sub\ w1\ w2 == bv\_sadd\ w1\ (bv\_uminus\ w2)$

**lemma** *bv-sub-type1* [simp]:  $bv\_sub\ (norm\_signed\ w1)\ w2 = bv\_sub\ w1\ w2$   
 ⟨proof⟩

**lemma** *bv-sub-type2* [simp]:  $bv\_sub\ w1\ (norm\_signed\ w2) = bv\_sub\ w1\ w2$   
 ⟨proof⟩

**lemma** *bv-sub-returntype* [simp]:  $norm\_signed\ (bv\_sub\ w1\ w2) = bv\_sub\ w1\ w2$   
 ⟨proof⟩

**lemma** *bv-sub-length*:  $length\ (bv\_sub\ w1\ w2) \leq Suc\ (\max\ (length\ w1)\ (length\ w2))$   
 ⟨proof⟩

**constdefs**

$bv\_smult :: [bit\ list, bit\ list] \Rightarrow bit\ list$   
 $bv\_smult\ w1\ w2 == int\_to\_bv\ (bv\_to\_int\ w1 * bv\_to\_int\ w2)$

**lemma** *bv-smult-type1* [simp]:  $bv\_smult\ (norm\_signed\ w1)\ w2 = bv\_smult\ w1\ w2$   
 ⟨proof⟩

**lemma** *bv-smult-type2* [simp]:  $bv\_smult\ w1\ (norm\_signed\ w2) = bv\_smult\ w1\ w2$   
 ⟨proof⟩



**lemma** *bv-smult-returntype [simp]: norm-signed (bv-smult w1 w2) = bv-smult w1 w2*  
 <proof>

**lemma** *bv-smult-length: length (bv-smult w1 w2) ≤ length w1 + length w2*  
 <proof>

**lemma** *bv-msb-one: bv-msb w = 1 ==> 0 < bv-to-nat w*  
 <proof>

**lemma** *bv-smult-length-utos: length (bv-smult (utos w1) w2) ≤ length w1 + length w2*  
 <proof>

**lemma** *bv-smult-sym: bv-smult w1 w2 = bv-smult w2 w1*  
 <proof>

## 16.7 Structural operations

### constdefs

*bv-select* :: [bit list, nat] ==> bit  
*bv-select w i* == *w ! (length w - 1 - i)*  
*bv-chop* :: [bit list, nat] ==> bit list \* bit list  
*bv-chop w i* == *let len = length w in (take (len - i) w, drop (len - i) w)*  
*bv-slice* :: [bit list, nat\*nat] ==> bit list  
*bv-slice w* ==  $\lambda(b,e). \text{fst } (bv\text{-chop } (snd (bv\text{-chop } w (b+1))) e)$

**lemma** *bv-select-rev:*  
**assumes** *nonnull: n < length w*  
**shows** *bv-select w n = rev w ! n*  
 <proof>

**lemma** *bv-chop-append: bv-chop (w1 @ w2) (length w2) = (w1, w2)*  
 <proof>

**lemma** *append-bv-chop-id: fst (bv-chop w l) @ snd (bv-chop w l) = w*  
 <proof>

**lemma** *bv-chop-length-fst [simp]: length (fst (bv-chop w i)) = length w - i*  
 <proof>

**lemma** *bv-chop-length-snd [simp]: length (snd (bv-chop w i)) = min i (length w)*  
 <proof>

**lemma** *bv-slice-length [simp]: [| j ≤ i; i < length w |] ==> length (bv-slice w (i,j)) = i - j + 1*  
 <proof>

**constdefs**

$length\text{-}nat :: nat \Rightarrow nat$   
 $length\text{-}nat\ x == LEAST\ n.\ x < 2^{\wedge} n$

**lemma**  $length\text{-}nat$ :  $length\ (nat\text{-}to\text{-}bv\ n) = length\text{-}nat\ n$   
 $\langle proof \rangle$

**lemma**  $length\text{-}nat\ 0$  [simp]:  $length\text{-}nat\ 0 = 0$   
 $\langle proof \rangle$

**lemma**  $length\text{-}nat\text{-}non0$ :

**assumes**  $n0$ :  $0 < n$   
**shows**  $length\text{-}nat\ n = Suc\ (length\text{-}nat\ (n\ div\ 2))$   
 $\langle proof \rangle$

**constdefs**

$length\text{-}int :: int \Rightarrow nat$   
 $length\text{-}int\ x == if\ 0 < x\ then\ Suc\ (length\text{-}nat\ (nat\ x))\ else\ if\ x = 0\ then\ 0\ else\ Suc\ (length\text{-}nat\ (nat\ (-x - 1)))$

**lemma**  $length\text{-}int$ :  $length\ (int\text{-}to\text{-}bv\ i) = length\text{-}int\ i$   
 $\langle proof \rangle$

**lemma**  $length\text{-}int\ 0$  [simp]:  $length\text{-}int\ 0 = 0$   
 $\langle proof \rangle$

**lemma**  $length\text{-}int\text{-}gt0$ :  $0 < i \Rightarrow length\text{-}int\ i = Suc\ (length\text{-}nat\ (nat\ i))$   
 $\langle proof \rangle$

**lemma**  $length\text{-}int\text{-}lt0$ :  $i < 0 \Rightarrow length\text{-}int\ i = Suc\ (length\text{-}nat\ (nat\ (-i) - 1))$   
 $\langle proof \rangle$

**lemma**  $bv\text{-}chopI$ :  $[| w = w1\ @\ w2\ ;\ i = length\ w2\ |] \Rightarrow bv\text{-}chop\ w\ i = (w1, w2)$   
 $\langle proof \rangle$

**lemma**  $bv\text{-}sliceI$ :  $[| j \leq i\ ;\ i < length\ w\ ;\ w = w1\ @\ w2\ @\ w3\ ;\ Suc\ i = length\ w2 + j\ ;\ j = length\ w3\ |] \Rightarrow bv\text{-}slice\ w\ (i, j) = w2$   
 $\langle proof \rangle$

**lemma**  $bv\text{-}slice\text{-}bv\text{-}slice$ :

**assumes**  $ki$ :  $k \leq i$   
**and**  $ij$ :  $i \leq j$   
**and**  $jl$ :  $j \leq l$   
**and**  $lw$ :  $l < length\ w$   
**shows**  $bv\text{-}slice\ w\ (j, i) = bv\text{-}slice\ (bv\text{-}slice\ w\ (l, k))\ (j - k, i - k)$   
 $\langle proof \rangle$

**lemma**  $bv\text{-}to\text{-}nat\text{-}extend$  [simp]:  $bv\text{-}to\text{-}nat\ (bv\text{-}extend\ n\ 0\ w) = bv\text{-}to\text{-}nat\ w$   
 $\langle proof \rangle$

**lemma** *bv-msb-extend-same* [simp]:  $bv\text{-}msb\ w = b \implies bv\text{-}msb\ (bv\text{-}extend\ n\ b\ w) = b$   
 <proof>

**lemma** *bv-to-int-extend* [simp]:  
 assumes  $a: bv\text{-}msb\ w = b$   
 shows  $bv\text{-}to\text{-}int\ (bv\text{-}extend\ n\ b\ w) = bv\text{-}to\text{-}int\ w$   
 <proof>

**lemma** *length-nat-mono* [simp]:  $x \leq y \implies length\text{-}nat\ x \leq length\text{-}nat\ y$   
 <proof>

**lemma** *length-nat-mono-int* [simp]:  $x \leq y \implies length\text{-}nat\ x \leq length\text{-}nat\ y$   
 <proof>

**lemma** *length-nat-pos* [simp,intro!]:  $0 < x \implies 0 < length\text{-}nat\ x$   
 <proof>

**lemma** *length-int-mono-gt0*:  $[| 0 \leq x ; x \leq y |] \implies length\text{-}int\ x \leq length\text{-}int\ y$   
 <proof>

**lemma** *length-int-mono-lt0*:  $[| x \leq y ; y \leq 0 |] \implies length\text{-}int\ y \leq length\text{-}int\ x$   
 <proof>

**lemmas** [simp] = *length-nat-non0*

**lemma** *nat-to-bv* (*number-of Numeral.Pls*) = []  
 <proof>

**consts**

*fast-bv-to-nat-helper* ::  $[bit\ list, bin] \implies bin$

**primrec**

*fast-bv-to-nat-Nil*:  $fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ []\ bin = bin$

*fast-bv-to-nat-Cons*:  $fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ (b\#bs)\ bin = fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ bs$   
 ( $bin\ BIT\ (bit\text{-}case\ bit.B0\ bit.B1\ b)$ )

**lemma** *fast-bv-to-nat-Cons0*:  $fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ (0\#bs)\ bin = fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ bs$   
 ( $bin\ BIT\ bit.B0$ )  
 <proof>

**lemma** *fast-bv-to-nat-Cons1*:  $fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ (1\#bs)\ bin = fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ bs$   
 ( $bin\ BIT\ bit.B1$ )  
 <proof>

**lemma** *fast-bv-to-nat-def*: *bv-to-nat bs == number-of (fast-bv-to-nat-helper bs Numeral.Pls)*

*<proof>*

**declare** *fast-bv-to-nat-Cons* [*simp del*]

**declare** *fast-bv-to-nat-Cons0* [*simp*]

**declare** *fast-bv-to-nat-Cons1* [*simp*]

*<ML>*

**declare** *bv-to-nat1* [*simp del*]

**declare** *bv-to-nat-helper* [*simp del*]

**constdefs**

*bv-mapzip* :: [*bit ==> bit ==> bit, bit list, bit list*] ==> *bit list*

*bv-mapzip f w1 w2 == let g = bv-extend (max (length w1) (length w2)) 0*  
*in map (split f) (zip (g w1) (g w2))*

**lemma** *bv-length-bv-mapzip* [*simp*]: *length (bv-mapzip f w1 w2) = max (length w1) (length w2)*

*<proof>*

**lemma** *bv-mapzip-Nil* [*simp*]: *bv-mapzip f [] [] = []*

*<proof>*

**lemma** *bv-mapzip-Cons* [*simp*]: *length w1 = length w2 ==> bv-mapzip f (x#w1) (y#w2) = f x y # bv-mapzip f w1 w2*

*<proof>*

**end**

## 17 Zorn: Zorn’s Lemma

**theory** *Zorn*

**imports** *Main*

**begin**

The lemma and section numbers refer to an unpublished article [1].

**constdefs**

*chain* :: [*'a set set ==> 'a set set set*]

*chain S == {F. F ⊆ S & (∀ x ∈ F. ∀ y ∈ F. x ⊆ y | y ⊆ x)}*

*super* :: [*'a set set, 'a set set*] ==> *'a set set set*

*super S c == {d. d ∈ chain S & c ⊂ d}*

*maxchain* :: [*'a set set ==> 'a set set set*]

*maxchain S == {c. c ∈ chain S & super S c = {}}*

$\text{succ} \quad :: \quad [ 'a \text{ set set}, 'a \text{ set set} ] \Rightarrow 'a \text{ set set}$   
 $\text{succ } S \ c ==$   
 $\text{if } c \notin \text{chain } S \mid c \in \text{maxchain } S$   
 $\text{then } c \text{ else SOME } c'. \ c' \in \text{super } S \ c$

**consts**

$\text{TFin} :: 'a \text{ set set} \Rightarrow 'a \text{ set set set}$

**inductive**  $\text{TFin } S$

**intros**

$\text{succI}: \quad x \in \text{TFin } S \Rightarrow \text{succ } S \ x \in \text{TFin } S$

$\text{Pow-UnionI}: \quad Y \in \text{Pow}(\text{TFin } S) \Rightarrow \text{Union}(Y) \in \text{TFin } S$

**monos**  $\text{Pow-mono}$

## 17.1 Mathematical Preamble

**lemma**  $\text{Union-lemma0}$ :

$(\forall x \in C. \ x \subseteq A \mid B \subseteq x) \Rightarrow \text{Union}(C) \subseteq A \mid B \subseteq \text{Union}(C)$   
 $\langle \text{proof} \rangle$

This is theorem *increasingD2* of ZF/Zorn.thy

**lemma**  $\text{Abrial-axiom1}$ :  $x \subseteq \text{succ } S \ x$

$\langle \text{proof} \rangle$

**lemmas**  $\text{TFin-UnionI} = \text{TFin.Pow-UnionI} \ [\text{OF PowI}]$

**lemma**  $\text{TFin-induct}$ :

$[[ \ n \in \text{TFin } S;$   
 $\quad !!x. \ [ \ x \in \text{TFin } S; \ P(x) \ ] \Rightarrow P(\text{succ } S \ x);$   
 $\quad !!Y. \ [ \ Y \subseteq \text{TFin } S; \ \text{Ball } Y \ P \ ] \Rightarrow P(\text{Union } Y) \ ]$   
 $\Rightarrow P(n)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{succ-trans}$ :  $x \subseteq y \Rightarrow x \subseteq \text{succ } S \ y$

$\langle \text{proof} \rangle$

Lemma 1 of section 3.1

**lemma**  $\text{TFin-linear-lemma1}$ :

$[[ \ n \in \text{TFin } S; \ m \in \text{TFin } S;$   
 $\quad \forall x \in \text{TFin } S. \ x \subseteq m \longrightarrow x = m \mid \text{succ } S \ x \subseteq m$   
 $\ ] \Rightarrow n \subseteq m \mid \text{succ } S \ m \subseteq n$   
 $\langle \text{proof} \rangle$

Lemma 2 of section 3.2

**lemma**  $\text{TFin-linear-lemma2}$ :

$m \in \text{TFin } S \Rightarrow \forall n \in \text{TFin } S. \ n \subseteq m \longrightarrow n = m \mid \text{succ } S \ n \subseteq m$   
 $\langle \text{proof} \rangle$

Re-ordering the premises of Lemma 2

**lemma** *TFin-subsetD*:

$[[ n \subseteq m; m \in TFin\ S; n \in TFin\ S ]] ==> n=m \mid succ\ S\ n \subseteq m$   
 $\langle proof \rangle$

Consequences from section 3.3 – Property 3.2, the ordering is total

**lemma** *TFin-subset-linear*:  $[[ m \in TFin\ S; n \in TFin\ S ]] ==> n \subseteq m \mid m \subseteq n$   
 $\langle proof \rangle$

Lemma 3 of section 3.3

**lemma** *eq-succ-upper*:  $[[ n \in TFin\ S; m \in TFin\ S; m = succ\ S\ m ]] ==> n \subseteq m$   
 $\langle proof \rangle$

Property 3.3 of section 3.3

**lemma** *equal-succ-Union*:  $m \in TFin\ S ==> (m = succ\ S\ m) = (m = Union(TFin\ S))$   
 $\langle proof \rangle$

## 17.2 Hausdorff’s Theorem: Every Set Contains a Maximal Chain.

NB: We assume the partial ordering is  $\subseteq$ , the subset relation!

**lemma** *empty-set-mem-chain*:  $(\{\} :: 'a\ set\ set) \in chain\ S$   
 $\langle proof \rangle$

**lemma** *super-subset-chain*:  $super\ S\ c \subseteq chain\ S$   
 $\langle proof \rangle$

**lemma** *maxchain-subset-chain*:  $maxchain\ S \subseteq chain\ S$   
 $\langle proof \rangle$

**lemma** *mem-super-Ex*:  $c \in chain\ S - maxchain\ S ==> ?\ d. d \in super\ S\ c$   
 $\langle proof \rangle$

**lemma** *select-super*:  $c \in chain\ S - maxchain\ S ==>$   
 $(\epsilon\ c'.\ c': super\ S\ c): super\ S\ c$   
 $\langle proof \rangle$

**lemma** *select-not-equals*:  $c \in chain\ S - maxchain\ S ==>$   
 $(\epsilon\ c'.\ c': super\ S\ c) \neq c$   
 $\langle proof \rangle$

**lemma** *succI3*:  $c \in chain\ S - maxchain\ S ==> succ\ S\ c = (\epsilon\ c'.\ c': super\ S\ c)$   
 $\langle proof \rangle$

**lemma** *succ-not-equals*:  $c \in chain\ S - maxchain\ S ==> succ\ S\ c \neq c$   
 $\langle proof \rangle$

**lemma** *TFin-chain-lemma4*:  $c \in TFin\ S \implies (c :: 'a\ set\ set); chain\ S$   
 $\langle proof \rangle$

**theorem** *Hausdorff*:  $\exists c. (c :: 'a\ set\ set); maxchain\ S$   
 $\langle proof \rangle$

### 17.3 Zorn’s Lemma: If All Chains Have Upper Bounds Then There Is a Maximal Element

**lemma** *chain-extend*:  
 $[| c \in chain\ S; z \in S;$   
 $\quad \forall x \in c. x \leq (z :: 'a\ set) |] \implies \{z\} \cup c \in chain\ S$   
 $\langle proof \rangle$

**lemma** *chain-Union-upper*:  $[| c \in chain\ S; x \in c |] \implies x \subseteq Union(c)$   
 $\langle proof \rangle$

**lemma** *chain-ball-Union-upper*:  $c \in chain\ S \implies \forall x \in c. x \subseteq Union(c)$   
 $\langle proof \rangle$

**lemma** *maxchain-Zorn*:  
 $[| c \in maxchain\ S; u \in S; Union(c) \subseteq u |] \implies Union(c) = u$   
 $\langle proof \rangle$

**theorem** *Zorn-Lemma*:  
 $\forall c \in chain\ S. Union(c) \in S \implies \exists y \in S. \forall z \in S. y \subseteq z \longrightarrow y = z$   
 $\langle proof \rangle$

### 17.4 Alternative version of Zorn’s Lemma

**lemma** *Zorn-Lemma2*:  
 $\forall c \in chain\ S. \exists y \in S. \forall x \in c. x \subseteq y$   
 $\implies \exists y \in S. \forall x \in S. (y \subseteq x \longrightarrow y = x)$   
 $\langle proof \rangle$

Various other lemmas

**lemma** *chainD*:  $[| c \in chain\ S; x \in c; y \in c |] \implies x \subseteq y \mid y \subseteq x$   
 $\langle proof \rangle$

**lemma** *chainD2*:  $!!(c :: 'a\ set\ set). c \in chain\ S \implies c \subseteq S$   
 $\langle proof \rangle$

end

## 18 Product-ord: Order on product types

**theory** *Product-ord*  
**imports** *Main*

**begin**

**instance** \* :: (*ord,ord*) *ord*  $\langle$ *proof* $\rangle$

**defs** (**overloaded**)

*prod-le-def*:  $(x \leq y) \equiv (fst\ x < fst\ y) \mid (fst\ x = fst\ y \ \&\ snd\ x \leq snd\ y)$

*prod-less-def*:  $(x < y) \equiv (fst\ x < fst\ y) \mid (fst\ x = fst\ y \ \&\ snd\ x < snd\ y)$

**lemmas** *prod-ord-defs* = *prod-less-def prod-le-def*

**instance** \* :: (*order,order*) *order*  
 $\langle$ *proof* $\rangle$

**instance** \*:: (*linorder,linorder*)*linorder*  
 $\langle$ *proof* $\rangle$

**end**

## 19 Char-ord: Order on characters

**theory** *Char-ord*

**imports** *Product-ord*

**begin**

Conversions between nibbles and integers in [0..15].

**consts**

*nibble-to-int*:: *nibble*  $\Rightarrow$  *int*

*int-to-nibble*:: *int*  $\Rightarrow$  *nibble*

**primrec**

*nibble-to-int Nibble0* = 0

*nibble-to-int Nibble1* = 1

*nibble-to-int Nibble2* = 2

*nibble-to-int Nibble3* = 3

*nibble-to-int Nibble4* = 4

*nibble-to-int Nibble5* = 5

*nibble-to-int Nibble6* = 6

*nibble-to-int Nibble7* = 7

*nibble-to-int Nibble8* = 8

*nibble-to-int Nibble9* = 9

*nibble-to-int NibbleA* = 10

*nibble-to-int NibbleB* = 11

*nibble-to-int NibbleC* = 12

*nibble-to-int NibbleD* = 13

*nibble-to-int NibbleE* = 14

*nibble-to-int NibbleF* = 15

**defs**



*int-to-nibble-def:*

```

int-to-nibble x ≡ (let y = x mod 16 in
  if y = 0 then Nibble0 else
  if y = 1 then Nibble1 else
  if y = 2 then Nibble2 else
  if y = 3 then Nibble3 else
  if y = 4 then Nibble4 else
  if y = 5 then Nibble5 else
  if y = 6 then Nibble6 else
  if y = 7 then Nibble7 else
  if y = 8 then Nibble8 else
  if y = 9 then Nibble9 else
  if y = 10 then NibbleA else
  if y = 11 then NibbleB else
  if y = 12 then NibbleC else
  if y = 13 then NibbleD else
  if y = 14 then NibbleE else
  NibbleF)

```

**lemma** *int-to-nibble-nibble-to-int*:  $\text{int-to-nibble}(\text{nibble-to-int } x) = x$   
 ⟨proof⟩

**lemma** *inj-nibble-to-int*:  $\text{inj nibble-to-int}$   
 ⟨proof⟩

**lemmas** *nibble-to-int-eq* =  $\text{inj-nibble-to-int } [\text{THEN inj-eq}]$

**lemma** *nibble-to-int-ge-0*:  $0 \leq \text{nibble-to-int } x$   
 ⟨proof⟩

**lemma** *nibble-to-int-less-16*:  $\text{nibble-to-int } x < 16$   
 ⟨proof⟩

Conversion between chars and int pairs.

**consts**

*char-to-int-pair* ::  $\text{char} \Rightarrow \text{int} \times \text{int}$

**primrec**

*char-to-int-pair* (Char a b) = (nibble-to-int a, nibble-to-int b)

**lemma** *inj-char-to-int-pair*:  $\text{inj char-to-int-pair}$   
 ⟨proof⟩

**lemmas** *char-to-int-pair-eq* =  $\text{inj-char-to-int-pair } [\text{THEN inj-eq}]$

Instantiation of order classes

**instance** *char* :: *ord* ⟨proof⟩

**defs (overloaded)**

*char-le-def*:  $c \leq d \equiv (\text{char-to-int-pair } c \leq \text{char-to-int-pair } d)$

*char-less-def*:  $c < d \equiv (\text{char-to-int-pair } c < \text{char-to-int-pair } d)$

**lemmas** *char-ord-defs* = *char-less-def char-le-def*

**instance** *char* :: *order*  
 ⟨*proof*⟩

**instance** *char*::*linorder*  
 ⟨*proof*⟩

**end**

## 20 Commutative-Ring: Proving equalities in commutative rings

**theory** *Commutative-Ring*  
**imports** *Main*  
**uses** (*comm-ring.ML*)  
**begin**

Syntax of multivariate polynomials (*pol*) and polynomial expressions.

**datatype** *'a pol* =  
   *Pc 'a*  
   | *Pinj nat 'a pol*  
   | *PX 'a pol nat 'a pol*

**datatype** *'a polex* =  
   *Pol 'a pol*  
   | *Add 'a polex 'a polex*  
   | *Sub 'a polex 'a polex*  
   | *Mul 'a polex 'a polex*  
   | *Pow 'a polex nat*  
   | *Neg 'a polex*

Interpretation functions for the shadow syntax.

**consts**  
*Ipol* :: *'a::{comm-ring,recpower}* *list*  $\Rightarrow$  *'a pol*  $\Rightarrow$  *'a*  
*Ipolex* :: *'a::{comm-ring,recpower}* *list*  $\Rightarrow$  *'a polex*  $\Rightarrow$  *'a*

**primrec**  
*Ipol* *l* (*Pc* *c*) = *c*  
*Ipol* *l* (*Pinj* *i* *P*) = *Ipol* (*drop* *i* *l*) *P*  
*Ipol* *l* (*PX* *P* *x* *Q*) = *Ipol* *l* *P* \* (*hd* *l*)<sup>*x*</sup> + *Ipol* (*drop* 1 *l*) *Q*

**primrec**  
*Ipolex* *l* (*Pol* *P*) = *Ipol* *l* *P*  
*Ipolex* *l* (*Add* *P* *Q*) = *Ipolex* *l* *P* + *Ipolex* *l* *Q*

$$\begin{aligned}
\text{Ipolex } l \text{ (Sub } P \text{ } Q) &= \text{Ipolex } l \text{ } P - \text{Ipolex } l \text{ } Q \\
\text{Ipolex } l \text{ (Mul } P \text{ } Q) &= \text{Ipolex } l \text{ } P * \text{Ipolex } l \text{ } Q \\
\text{Ipolex } l \text{ (Pow } p \text{ } n) &= \text{Ipolex } l \text{ } p ^ n \\
\text{Ipolex } l \text{ (Neg } P) &= - \text{Ipolex } l \text{ } P
\end{aligned}$$

Create polynomial normalized polynomials given normalized inputs.

**constdefs**

$$\begin{aligned}
\text{mkPinj} &:: \text{nat} \Rightarrow 'a \text{ pol} \Rightarrow 'a \text{ pol} \\
\text{mkPinj } x \text{ } P &\equiv (\text{case } P \text{ of} \\
&\quad \text{Pc } c \Rightarrow \text{Pc } c \mid \\
&\quad \text{Pinj } y \text{ } P \Rightarrow \text{Pinj } (x + y) \text{ } P \mid \\
&\quad \text{PX } p1 \text{ } y \text{ } p2 \Rightarrow \text{Pinj } x \text{ } P)
\end{aligned}$$

**constdefs**

$$\begin{aligned}
\text{mkPX} &:: 'a::\{\text{comm-ring,recpower}\} \text{ pol} \Rightarrow \text{nat} \Rightarrow 'a \text{ pol} \Rightarrow 'a \text{ pol} \\
\text{mkPX } P \text{ } i \text{ } Q &== (\text{case } P \text{ of} \\
&\quad \text{Pc } c \Rightarrow (\text{if } (c = 0) \text{ then } (\text{mkPinj } 1 \text{ } Q) \text{ else } (\text{PX } P \text{ } i \text{ } Q)) \mid \\
&\quad \text{Pinj } j \text{ } R \Rightarrow \text{PX } P \text{ } i \text{ } Q \mid \\
&\quad \text{PX } P2 \text{ } i2 \text{ } Q2 \Rightarrow (\text{if } (Q2 = (\text{Pc } 0)) \text{ then } (\text{PX } P2 \text{ } (i+i2) \text{ } Q) \text{ else } (\text{PX } P \text{ } i \text{ } Q)) \\
&\quad )
\end{aligned}$$

Defining the basic ring operations on normalized polynomials

**consts**

$$\begin{aligned}
\text{add} &:: 'a::\{\text{comm-ring,recpower}\} \text{ pol} \times 'a \text{ pol} \Rightarrow 'a \text{ pol} \\
\text{mul} &:: 'a::\{\text{comm-ring,recpower}\} \text{ pol} \times 'a \text{ pol} \Rightarrow 'a \text{ pol} \\
\text{neg} &:: 'a::\{\text{comm-ring,recpower}\} \text{ pol} \Rightarrow 'a \text{ pol} \\
\text{sqr} &:: 'a::\{\text{comm-ring,recpower}\} \text{ pol} \Rightarrow 'a \text{ pol} \\
\text{pow} &:: 'a::\{\text{comm-ring,recpower}\} \text{ pol} \times \text{nat} \Rightarrow 'a \text{ pol}
\end{aligned}$$

Addition

**recdef** *add measure* ( $\lambda(x, y). \text{size } x + \text{size } y$ )

$$\begin{aligned}
&\text{add } (\text{Pc } a, \text{Pc } b) = \text{Pc } (a + b) \\
&\text{add } (\text{Pc } c, \text{Pinj } i \text{ } P) = \text{Pinj } i \text{ } (\text{add } (P, \text{Pc } c)) \\
&\text{add } (\text{Pinj } i \text{ } P, \text{Pc } c) = \text{Pinj } i \text{ } (\text{add } (P, \text{Pc } c)) \\
&\text{add } (\text{Pc } c, \text{PX } P \text{ } i \text{ } Q) = \text{PX } P \text{ } i \text{ } (\text{add } (Q, \text{Pc } c)) \\
&\text{add } (\text{PX } P \text{ } i \text{ } Q, \text{Pc } c) = \text{PX } P \text{ } i \text{ } (\text{add } (Q, \text{Pc } c)) \\
&\text{add } (\text{Pinj } x \text{ } P, \text{Pinj } y \text{ } Q) = \\
&\quad (\text{if } x=y \text{ then } \text{mkPinj } x \text{ } (\text{add } (P, Q)) \\
&\quad \text{else } (\text{if } x>y \text{ then } \text{mkPinj } y \text{ } (\text{add } (\text{Pinj } (x-y) \text{ } P, Q)) \\
&\quad \quad \text{else } \text{mkPinj } x \text{ } (\text{add } (\text{Pinj } (y-x) \text{ } Q, P)) \text{ } )) \\
&\text{add } (\text{Pinj } x \text{ } P, \text{PX } Q \text{ } y \text{ } R) = \\
&\quad (\text{if } x=0 \text{ then } \text{add } (P, \text{PX } Q \text{ } y \text{ } R) \\
&\quad \text{else } (\text{if } x=1 \text{ then } \text{PX } Q \text{ } y \text{ } (\text{add } (R, P)) \\
&\quad \quad \text{else } \text{PX } Q \text{ } y \text{ } (\text{add } (R, \text{Pinj } (x-1) \text{ } P)))) \\
&\text{add } (\text{PX } P \text{ } x \text{ } R, \text{Pinj } y \text{ } Q) = \\
&\quad (\text{if } y=0 \text{ then } \text{add } (\text{PX } P \text{ } x \text{ } R, Q) \\
&\quad \text{else } (\text{if } y=1 \text{ then } \text{PX } P \text{ } x \text{ } (\text{add } (R, Q)) \\
&\quad \quad \text{else } \text{PX } P \text{ } x \text{ } (\text{add } (R, \text{Pinj } (y-1) \text{ } Q)))) \\
&\text{add } (\text{PX } P1 \text{ } x \text{ } P2, \text{PX } Q1 \text{ } y \text{ } Q2) =
\end{aligned}$$

```

(if x=y then mkPX (add (P1, Q1)) x (add (P2, Q2))
else (if x>y then mkPX (add (PX P1 (x-y) (Pc 0), Q1)) y (add (P2, Q2))
     else mkPX (add (PX Q1 (y-x) (Pc 0), P1)) x (add (P2, Q2)) ))

```

### Multiplication

```

recdef mul measure ( $\lambda(x, y). \text{size } x + \text{size } y$ )
  mul (Pc a, Pc b) = Pc (a*b)
  mul (Pc c, Pinj i P) = (if c=0 then Pc 0 else mkPinj i (mul (P, Pc c)))
  mul (Pinj i P, Pc c) = (if c=0 then Pc 0 else mkPinj i (mul (P, Pc c)))
  mul (Pc c, PX P i Q) =
    (if c=0 then Pc 0 else mkPX (mul (P, Pc c)) i (mul (Q, Pc c)))
  mul (PX P i Q, Pc c) =
    (if c=0 then Pc 0 else mkPX (mul (P, Pc c)) i (mul (Q, Pc c)))
  mul (Pinj x P, Pinj y Q) =
    (if x=y then mkPinj x (mul (P, Q))
     else (if x>y then mkPinj y (mul (Pinj (x-y) P, Q))
          else mkPinj x (mul (Pinj (y-x) Q, P)) ))
  mul (Pinj x P, PX Q y R) =
    (if x=0 then mul(P, PX Q y R)
     else (if x=1 then mkPX (mul (Pinj x P, Q)) y (mul (R, P))
          else mkPX (mul (Pinj x P, Q)) y (mul (R, Pinj (x - 1) P))))
  mul (PX P x R, Pinj y Q) =
    (if y=0 then mul(PX P x R, Q)
     else (if y=1 then mkPX (mul (Pinj y Q, P)) x (mul (R, Q))
          else mkPX (mul (Pinj y Q, P)) x (mul (R, Pinj (y - 1) Q))))
  mul (PX P1 x P2, PX Q1 y Q2) =
    add (mkPX (mul (P1, Q1)) (x+y) (mul (P2, Q2)),
        add (mkPX (mul (P1, mkPinj 1 Q2)) x (Pc 0), mkPX (mul (Q1, mkPinj 1
P2)) y (Pc 0)) )
(hints simp add: mkPinj-def split: pol.split)

```

### Negation

```

primrec
  neg (Pc c) = Pc (-c)
  neg (Pinj i P) = Pinj i (neg P)
  neg (PX P x Q) = PX (neg P) x (neg Q)

```

### Substraction

```

constdefs
  sub :: 'a::{comm-ring,recpower} pol  $\Rightarrow$  'a pol  $\Rightarrow$  'a pol
  sub p q  $\equiv$  add (p, neg q)

```

### Square for Fast Exponentation

```

primrec
  sqr (Pc c) = Pc (c * c)
  sqr (Pinj i P) = mkPinj i (sqr P)
  sqr (PX A x B) = add (mkPX (sqr A) (x + x) (sqr B),
                        mkPX (mul (mul (Pc (1 + 1), A), mkPinj 1 B)) x (Pc 0))

```

## Fast Exponentiation

**lemma** *pow-wf*:  $\text{odd } n \implies (n::\text{nat}) \text{ div } 2 < n$   $\langle \text{proof} \rangle$

**recdef** *pow measure*  $(\lambda(x, y). y)$

$\text{pow } (p, 0) = \text{Pc } 1$

$\text{pow } (p, n) = (\text{if even } n \text{ then } (\text{pow } (\text{sqr } p, n \text{ div } 2)) \text{ else } \text{mul } (p, \text{pow } (\text{sqr } p, n \text{ div } 2)))$

(**hints** *simp add: pow-wf*)

**lemma** *pow-if*:

$\text{pow } (p, n) =$

$(\text{if } n = 0 \text{ then } \text{Pc } 1 \text{ else if even } n \text{ then } \text{pow } (\text{sqr } p, n \text{ div } 2)$

$\text{else } \text{mul } (p, \text{pow } (\text{sqr } p, n \text{ div } 2)))$

$\langle \text{proof} \rangle$

## Normalization of polynomial expressions

**consts** *norm* ::  $'a::\{\text{comm-ring}, \text{recpower}\}$   $\text{pol} \Rightarrow 'a \text{ pol}$

**primrec**

$\text{norm } (\text{Pol } P) = P$

$\text{norm } (\text{Add } P \ Q) = \text{add } (\text{norm } P, \text{norm } Q)$

$\text{norm } (\text{Sub } p \ q) = \text{sub } (\text{norm } p) (\text{norm } q)$

$\text{norm } (\text{Mul } P \ Q) = \text{mul } (\text{norm } P, \text{norm } Q)$

$\text{norm } (\text{Pow } p \ n) = \text{pow } (\text{norm } p, n)$

$\text{norm } (\text{Neg } P) = \text{neg } (\text{norm } P)$

## mkPinj preserve semantics

**lemma** *mkPinj-ci*:  $\text{Ipol } l \ (\text{mkPinj } a \ B) = \text{Ipol } l \ (\text{Pinj } a \ B)$

$\langle \text{proof} \rangle$

## mkPX preserves semantics

**lemma** *mkPX-ci*:  $\text{Ipol } l \ (\text{mkPX } A \ b \ C) = \text{Ipol } l \ (\text{PX } A \ b \ C)$

$\langle \text{proof} \rangle$

## Correctness theorems for the implemented operations

## Negation

**lemma** *neg-ci*:  $\bigwedge l. \text{Ipol } l \ (\text{neg } P) = -(\text{Ipol } l \ P)$

$\langle \text{proof} \rangle$

## Addition

**lemma** *add-ci*:  $\bigwedge l. \text{Ipol } l \ (\text{add } (P, Q)) = \text{Ipol } l \ P + \text{Ipol } l \ Q$

$\langle \text{proof} \rangle$

## Multiplication

**lemma** *mul-ci*:  $\bigwedge l. \text{Ipol } l \ (\text{mul } (P, Q)) = \text{Ipol } l \ P * \text{Ipol } l \ Q$

$\langle \text{proof} \rangle$

## Substraction

**lemma** *sub-ci*:  $Ipol\ l\ (sub\ p\ q) = Ipol\ l\ p - Ipol\ l\ q$   
 $\langle proof \rangle$

Square

**lemma** *sqr-ci*:  $\bigwedge ls. Ipol\ ls\ (sqr\ p) = Ipol\ ls\ p * Ipol\ ls\ p$   
 $\langle proof \rangle$

Power

**lemma** *even-pow*:  $even\ n \implies pow\ (p, n) = pow\ (sqr\ p, n\ div\ 2) \langle proof \rangle$

**lemma** *pow-ci*:  $\bigwedge p. Ipol\ ls\ (pow\ (p, n)) = (Ipol\ ls\ p) ^ n$   
 $\langle proof \rangle$

Normalization preserves semantics

**lemma** *norm-ci*:  $Ipolex\ l\ Pe = Ipol\ l\ (norm\ Pe)$   
 $\langle proof \rangle$

Reflection lemma: Key to the (incomplete) decision procedure

**lemma** *norm-eq*:  
 assumes *eq*:  $norm\ P1 = norm\ P2$   
 shows  $Ipolex\ l\ P1 = Ipolex\ l\ P2$   
 $\langle proof \rangle$

Code generation

$\langle ML \rangle$

end

## 21 List-Prefix: List prefixes and postfixes

**theory** *List-Prefix*  
**imports** *Main*  
**begin**

### 21.1 Prefix order on lists

**instance** *list* ::  $(type)\ ord \langle proof \rangle$

**defs** (overloaded)

*prefix-def*:  $xs \leq ys == \exists zs. ys = xs @ zs$

*strict-prefix-def*:  $xs < ys == xs \leq ys \wedge xs \neq (ys::'a\ list)$

**instance** *list* ::  $(type)\ order$   
 $\langle proof \rangle$

**lemma** *prefixI* [*intro?*]:  $ys = xs @ zs ==> xs \leq ys$   
 $\langle proof \rangle$

**lemma** *prefixE* [*elim?*]:  $xs \leq ys \implies (!zs. ys = xs @ zs \implies C) \implies C$   
 ⟨*proof*⟩

**lemma** *strict-prefixI'* [*intro?*]:  $ys = xs @ z \# zs \implies xs < ys$   
 ⟨*proof*⟩

**lemma** *strict-prefixE'* [*elim?*]:  
 assumes *lt*:  $xs < ys$   
 and *r*:  $!!z zs. ys = xs @ z \# zs \implies C$   
 shows *C*  
 ⟨*proof*⟩

**lemma** *strict-prefixI* [*intro?*]:  $xs \leq ys \implies xs \neq ys \implies xs < (ys::'a \text{ list})$   
 ⟨*proof*⟩

**lemma** *strict-prefixE* [*elim?*]:  
 $xs < ys \implies (xs \leq ys \implies xs \neq (ys::'a \text{ list}) \implies C) \implies C$   
 ⟨*proof*⟩

## 21.2 Basic properties of prefixes

**theorem** *Nil-prefix* [*iff*]:  $[] \leq xs$   
 ⟨*proof*⟩

**theorem** *prefix-Nil* [*simp*]:  $(xs \leq []) = (xs = [])$   
 ⟨*proof*⟩

**lemma** *prefix-snoc* [*simp*]:  $(xs \leq ys @ [y]) = (xs = ys @ [y] \vee xs \leq ys)$   
 ⟨*proof*⟩

**lemma** *Cons-prefix-Cons* [*simp*]:  $(x \# xs \leq y \# ys) = (x = y \wedge xs \leq ys)$   
 ⟨*proof*⟩

**lemma** *same-prefix-prefix* [*simp*]:  $(xs @ ys \leq xs @ zs) = (ys \leq zs)$   
 ⟨*proof*⟩

**lemma** *same-prefix-nil* [*iff*]:  $(xs @ ys \leq xs) = (ys = [])$   
 ⟨*proof*⟩

**lemma** *prefix-prefix* [*simp*]:  $xs \leq ys \implies xs \leq ys @ zs$   
 ⟨*proof*⟩

**lemma** *append-prefixD*:  $xs @ ys \leq zs \implies xs \leq zs$   
 ⟨*proof*⟩

**theorem** *prefix-Cons*:  $(xs \leq y \# ys) = (xs = [] \vee (\exists zs. xs = y \# zs \wedge zs \leq ys))$   
 ⟨*proof*⟩

**theorem** *prefix-append*:

$$(xs \leq ys @ zs) = (xs \leq ys \vee (\exists us. xs = ys @ us \wedge us \leq zs))$$

*<proof>*

**lemma** *append-one-prefix*:

$$xs \leq ys ==> \text{length } xs < \text{length } ys ==> xs @ [ys ! \text{length } xs] \leq ys$$

*<proof>*

**theorem** *prefix-length-le*:  $xs \leq ys ==> \text{length } xs \leq \text{length } ys$

*<proof>*

**lemma** *prefix-same-cases*:

$$(xs_1 :: 'a \text{ list}) \leq ys ==> xs_2 \leq ys ==> xs_1 \leq xs_2 \vee xs_2 \leq xs_1$$

*<proof>*

**lemma** *set-mono-prefix*:

$$xs \leq ys ==> \text{set } xs \subseteq \text{set } ys$$

*<proof>*

### 21.3 Parallel lists

**constdefs**

$$\text{parallel} :: 'a \text{ list} ==> 'a \text{ list} ==> \text{bool} \quad (\text{infixl } \parallel 50)$$

$$xs \parallel ys == \neg xs \leq ys \wedge \neg ys \leq xs$$

**lemma** *parallelI* [intro]:  $\neg xs \leq ys ==> \neg ys \leq xs ==> xs \parallel ys$

*<proof>*

**lemma** *parallelE* [elim]:

$$xs \parallel ys ==> (\neg xs \leq ys ==> \neg ys \leq xs ==> C) ==> C$$

*<proof>*

**theorem** *prefix-cases*:

$$(xs \leq ys ==> C) ==>$$

$$(ys < xs ==> C) ==>$$

$$(xs \parallel ys ==> C) ==> C$$

*<proof>*

**theorem** *parallel-decomp*:

$$xs \parallel ys ==> \exists as \ b \ bs \ c \ cs. \ b \neq c \wedge xs = as @ b \ \# \ bs \wedge ys = as @ c \ \# \ cs$$

*<proof>*

### 21.4 Postfix order on lists

**constdefs**

$$\text{postfix} :: 'a \text{ list} ==> 'a \text{ list} ==> \text{bool} \quad ((-/ >>= -) [51, 50] 50)$$

$$xs >>= ys == \exists zs. xs = zs @ ys$$

**lemma** *postfix-refl* [simp, intro!]:  $xs >>= xs$

*<proof>*



**lemma** *postfix-trans*:  $\llbracket xs \gg = ys; ys \gg = zs \rrbracket \implies xs \gg = zs$   
 $\langle proof \rangle$

**lemma** *postfix-antisym*:  $\llbracket xs \gg = ys; ys \gg = xs \rrbracket \implies xs = ys$   
 $\langle proof \rangle$

**lemma** *Nil-postfix* [iff]:  $xs \gg = []$   
 $\langle proof \rangle$

**lemma** *postfix-Nil* [simp]:  $([] \gg = xs) = (xs = [])$   
 $\langle proof \rangle$

**lemma** *postfix-ConsI*:  $xs \gg = ys \implies x \# xs \gg = ys$   
 $\langle proof \rangle$

**lemma** *postfix-ConsD*:  $xs \gg = y \# ys \implies xs \gg = ys$   
 $\langle proof \rangle$

**lemma** *postfix-appendI*:  $xs \gg = ys \implies zs @ xs \gg = ys$   
 $\langle proof \rangle$

**lemma** *postfix-appendD*:  $xs \gg = zs @ ys \implies xs \gg = ys$   
 $\langle proof \rangle$

**lemma** *postfix-is-subset-lemma*:  $xs = zs @ ys \implies \text{set } ys \subseteq \text{set } xs$   
 $\langle proof \rangle$

**lemma** *postfix-is-subset*:  $xs \gg = ys \implies \text{set } ys \subseteq \text{set } xs$   
 $\langle proof \rangle$

**lemma** *postfix-ConsD2-lemma* [rule-format]:  $x \# xs = zs @ y \# ys \longrightarrow xs \gg = ys$   
 $\langle proof \rangle$

**lemma** *postfix-ConsD2*:  $x \# xs \gg = y \# ys \implies xs \gg = ys$   
 $\langle proof \rangle$

**lemma** *postfix2prefix*:  $(xs \gg = ys) = (\text{rev } ys \leq \text{rev } xs)$   
 $\langle proof \rangle$

end

## 22 List-lexord: Lexicographic order on lists

**theory** *List-lexord*

**imports** *Main*

**begin**

**instance** *list* :: (ord) ord  $\langle proof \rangle$

**defs** (overloaded)

*list-le-def*:  $(xs :: ('a :: ord) \text{ list}) \leq ys \equiv (xs < ys \vee xs = ys)$

*list-less-def*:  $(xs :: ('a :: ord) \text{ list}) < ys \equiv (xs, ys) \in \text{lexord } \{(u, v). u < v\}$

**lemmas** *list-ord-defs* = *list-less-def list-le-def*

**instance** *list* :: (*order*) *order*  
 ⟨*proof*⟩

**instance** *list*::(*linorder*)*linorder*  
 ⟨*proof*⟩

**lemma** *not-less-Nil[simp]*:  $\sim(x < [])$   
 ⟨*proof*⟩

**lemma** *Nil-less-Cons[simp]*:  $[] < a \# x$   
 ⟨*proof*⟩

**lemma** *Cons-less-Cons[simp]*:  $(a \# x < b \# y) = (a < b \mid a = b \ \& \ x < y)$   
 ⟨*proof*⟩

**lemma** *le-Nil[simp]*:  $(x \leq []) = (x = [])$   
 ⟨*proof*⟩

**lemma** *Nil-le-Cons [simp]*:  $([] \leq x)$   
 ⟨*proof*⟩

**lemma** *Cons-le-Cons[simp]*:  $(a \# x \leq b \# y) = (a < b \mid a = b \ \& \ x \leq y)$   
 ⟨*proof*⟩

**end**

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